

An Infinite Set of Ward Identities for Adiabatic Modes in Cosmology

Kurt Hinterbichler^a, Lam Hui^b and Justin Khoury^c

^a *Perimeter Institute for Theoretical Physics,
31 Caroline St. N, Waterloo, Ontario, Canada, N2L 2Y5*

^b *Physics Department and Institute for Strings, Cosmology and Astroparticle Physics,
Columbia University, New York, NY 10027, USA*

^c *Center for Particle Cosmology, Department of Physics & Astronomy, University of Pennsylvania,
209 South 33rd Street, Philadelphia, PA 19104*

Abstract

We show that the correlation functions of any single-field cosmological model with constant growing-modes are constrained by an infinite number of novel consistency relations, which relate $N + 1$ -point correlation functions with a soft-momentum scalar or tensor mode to a symmetry transformation on N -point correlation functions of hard-momentum modes. We derive these consistency relations from Ward identities for an infinite tower of non-linearly realized global symmetries governing scalar and tensor perturbations. These symmetries can be labeled by an integer n . At each order n , the consistency relations constrain — completely for $n = 0, 1$, and partially for $n \geq 2$ — the q^n behavior of the soft limits. The identities at $n = 0$ recover Maldacena's original consistency relations for a soft scalar and tensor mode, $n = 1$ gives the recently-discovered conformal consistency relations, and the identities for $n \geq 2$ are new. As a check, we verify directly that the $n = 2$ identity is satisfied by known correlation functions in slow-roll inflation.

1 Introduction

The consistency relation is one of the most powerful probes of early universe physics. It states that the 3-point function of the curvature perturbation ζ [1, 2], in the squeezed or local limit where one of the modes becomes soft, is determined by the scale transformation of the 2-point function¹ [3–5]:

$$\lim_{\vec{q} \rightarrow 0} \frac{1}{P_\zeta(q)} \langle \zeta_{\vec{q}} \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle' = -\vec{k}_1 \cdot \frac{\partial}{\partial \vec{k}_1} \langle \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle'. \quad (1.1)$$

Combined with the observation that the 2-point function is scale invariant to within a few percent, this tells us that the 3-point function in this limit should be vanishingly small. The consistency relation holds under very general conditions [5]: any early universe scenario involving a single scalar degree of freedom (or single ‘clock’) whose perturbations grow to a constant at late times, must satisfy (1.1). This encompasses *all* single-field inflationary models [6–9], including hybrid models [10], as well as some non-inflationary scenarios [11–21]. Conversely, to observe a significant 3-point function in this limit requires violating one of the assumptions above, for instance through additional scalar fields [22–27] or an unstable background [28, 29, 32, 33]². The consistency relation (1.1) has been established through explicit calculations [3, 5] and can be understood using “background-wave” arguments³ [3, 4]. It holds whether the short-wavelength modes are inside or outside the horizon [37].

In this paper we will show that inflationary correlation functions — and more generally those of any single-field cosmology with constant growing mode solutions for scalars and tensors — are constrained by *an infinite number of consistency relations*. Similarly to (1.1), they relate the soft limit $\vec{q} \rightarrow 0$ of a scalar or tensor mode in an $N + 1$ -point correlation function to a symmetry transformation on an N -point function. We will show how these arise as Ward identities for an infinite number of non-linearly realized symmetries, akin to the soft-pion theorems of chiral perturbation theory [38, 39]. At each order n , the consistency relations constrain — completely for $n = 0, 1$, and partially for $n \geq 2$ — the q^n behavior of the soft limits of correlation functions.

As the simplest example, we will recover the original consistency relation (1.1) from the Ward identity for spontaneously broken spatial dilations. This was already pointed out in [3] and recently shown in [40]. The operator approach followed here in translating the Ward identity to a consistency relation has some overlap with [40]. The approach establishes the non-perturbative nature of the consistency relations. In a parallel investigation, Goldberger, Hui and Nicolis [41] have used the path integral approach to derive (1.1) from a Ward identity. See [42] for a recent derivation of (1.1) using holographic arguments. As another example, we will recover the tensor consistency relation [3]

$$\lim_{\vec{q} \rightarrow 0} \frac{1}{P_\gamma(q)} \langle \gamma^s(\vec{q}) \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle' = -\frac{1}{2} \epsilon_{i\ell_0}^s(q) k_1^i \frac{\partial}{\partial k_1^{\ell_0}} \langle \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle', \quad (1.2)$$

¹Here P_ζ denotes the power spectrum, and $\langle \dots \rangle'$ are correlators without the momentum-conserving δ -function.

²One exception, for instance, is the Solid Inflation model proposed recently [34].

³The background-wave argument basically goes as follows. The long ($\vec{q} \rightarrow 0$) mode freezes out much earlier than the short ($\vec{k}_{1,2}$) modes, and hence acts as a classical background for the generation of these modes. Since the growing mode solution for ζ is a constant, the background 3-metric (ignoring tensors) experienced by the short modes is $h_{ij} = e^{2\zeta_L} a^2(t) \delta_{ij}$, where ζ_L is approximately constant. This constant background is an adiabatic mode [35, 36], which can be removed by a local rescaling of the short modes.

where $\epsilon_{i\ell_0}^s$ is the polarization tensor, from the Ward identity for spontaneously broken anisotropic spatial rescaling.

Recently, it has been pointed out [43, 44] that scalar perturbations in spatially flat single-field cosmology are governed by the symmetry breaking pattern

$$SO(4, 1) \rightarrow \text{spatial rotations} + \text{translations} , \quad (1.3)$$

with ζ playing the role of the Goldstone boson (or dilaton) for the $SO(4, 1)$ conformal symmetries on \mathbb{R}^3 . The origin of conformal symmetry is most easily seen in comoving gauge, where the scalar field is unperturbed, and the spatial metric (ignoring tensors) is $h_{ij} = a^2(t)e^{2\zeta(\vec{x}, t)}\delta_{ij}$. Since this 3-metric is conformally flat, the 10 conformal transformations on \mathbb{R}^3 preserve this form and hence are symmetries of the scalar sector.⁴ The dilation and special conformal transformations (SCTs) are non-linearly realized on ζ , whereas spatial translations and rotations are linearly realized. The conformal symmetries are restored (and linearly realized on the fields) in the limit that the background becomes exact de Sitter space [45–52].

As another special case of our general Ward identities, we will show that the conformal consistency relation, recently derived using background-wave arguments [43], is a consequence of the Ward identity for non-linearly realized SCTs. The conformal consistency relation relates the order q behavior of correlation functions with a soft- ζ mode to an SCT acting on the correlation functions of the hard modes. Since SCTs result in a departure from the transverse, traceless conditions for tensor modes, they are strictly speaking a good symmetry of the scalar sector only [43, 44]. However, we will show how the SCTs can be corrected, order by order in the tensors, to become full-fledged symmetries of the theory of scalar and tensor perturbations. These result in corrections to the conformal consistency relation to all orders in tensors. (In contrast, the spatial dilation symmetry is exact, including tensors, and (1.1) receives no correction.) There is also a tensor consistency relation constraining the order q behavior of the correlation functions with a soft tensor mode [43], which we will also recover from our Ward identities.

More generally, we will show in Sec. 2 that the theory of scalar and tensor perturbations in comoving gauge,

$$h_{ij} = a^2(t)e^{2\zeta(\vec{x}, t)} \left(e^{\gamma(\vec{x}, t)} \right)_{ij} , \quad (1.4)$$

where⁵ $\gamma^i_i = 0$, $\partial_i \gamma^i_j = 0$, is constrained by infinitely-many non-linearly realized symmetries. These are residual diffeomorphisms, which do not fall off at infinity and which leave the form of the 3-metric (1.4) intact. The symmetries are defined perturbatively in the tensors, and the corresponding field transformations include terms to all orders in γ . No quasi-de Sitter or slow-roll approximation will be assumed — the symmetries uncovered here hold on any spatially-flat cosmological background.

Since the residual diffeomorphisms diverge at infinity, they map field configurations which fall off at infinity into those which do not. Nevertheless, in Sec. 2.3 we will generalize Weinberg’s argument [36] to show that certain linear combinations of these transformations can be smoothly extended to physical

⁴This does not contradict the usual notion that “comoving gauge completely fixes the gauge,” since this statement assumes that gauge transformations fall off at infinity — conformal transformations clearly do not. As such, conformal transformations are residual diffeomorphisms mapping field configurations which fall off at infinity into those which do not. Nevertheless, as shown in [43, 44] and reviewed in Sec. 2.3, they can be extended to transformations which do fall off at infinity, and hence generate new physical solutions or adiabatic modes [35, 36].

⁵Unless otherwise stated, spatial indices are everywhere raised/lowered using δ_{ij} .

configurations which fall off at infinity. In other words, they correspond to *adiabatic modes*. Such transformations can be thought of as the $q \rightarrow 0$ limit of transformations which do fall off at infinity, and therefore generate new physical solutions.

In Sec. 3 we will show how the Ward identities associated with the non-linearly symmetries lead to consistency relations for the soft limits of correlation functions. The derivation, while somewhat technical, is very general and allows us to derive at once all consistency relations. (In contrast, the background-wave method, while straightforward for the dilation consistency relation [4], is already considerably more intricate for the conformal consistency relation [43].) Another upshot of the operator approach is that the regime of applicability of the consistency relations is sharply defined — Ward identities are non-perturbative statements, and in particular do not rely on semi-classical approximations.

The consistency relations we obtain are of the following schematic form (with $n \geq 0$)

$$\lim_{\vec{q} \rightarrow 0} \frac{\partial^n}{\partial q^n} \left(\frac{1}{P_\zeta(q)} \langle \zeta(\vec{q}) \mathcal{O} \rangle'_c + \frac{1}{P_\gamma(q)} \langle \gamma(\vec{q}) \mathcal{O} \rangle'_c \right) \sim - \frac{\partial^n}{\partial k^n} \left(\langle \mathcal{O} \rangle'_c + \langle \tilde{\mathcal{O}} \rangle'_c \right), \quad (1.5)$$

where \mathcal{O} represents a product of N scalar ζ and tensor γ perturbations with momenta labeled by $\vec{k}_1, \dots, \vec{k}_N$; $\tilde{\mathcal{O}}$ represents the same set of fields with one of the fields (ζ or γ) replaced by another γ ; $\langle \rangle'_c$ represents the connected momentum space correlation functions with the overall delta function removed. On the left hand side, we have $N + 1$ -point functions with a soft (small q) ζ or γ leg (some relations have only soft ζ , some only soft γ , and some have both); on the right hand side, we have N -point functions with the soft leg removed. As such, these consistency relations resemble the well-known soft-pion theorems, and indeed they follow from Ward identities applied to nonlinearly realized symmetries just like the soft-pion theorems do. There is an infinite set of consistency relations, labeled by the integer $n \geq 0$, each controlling the q^n behavior of the relevant $N + 1$ -point function. The other momenta $\vec{k}_1, \dots, \vec{k}_N$ are hard compared to \vec{q} , but there is no assumption on whether they are inside or outside the horizon [37]. There are 3 independent relations for $n = 0$ (one involving a soft scalar and two involving a soft tensor), 7 relations for $n = 1$ (three involving a soft scalar and four involving a soft tensor), and 6 for each $n \geq 2$ (four involving a soft tensor and two involving mixtures of soft scalar and tensor). The $n = 0$ and $n = 1$ relations are known. The $n \geq 2$ relations are new.

In Sec. 4 we will show that the $n = 0$ identities reproduce the dilation and anisotropic scaling consistency relations, of which (1.1) and (1.2) are the simplest renditions. Moreover, we will recover from the $n = 1$ identity the conformal consistency relation and the linear-gradient tensor analogue relation. While the $n = 0, 1$ consistency relations completely fix the q^0 and q behavior of the soft limits, the $n \geq 2$ identities only partially fix the q^n behavior of the correlation functions. Of the 6 algebraically-independent consistency relations at $n \geq 2$, 4 involve soft- γ correlation functions only, while the remaining 2 involve a linear combination of soft- ζ and soft- γ correlators. (This is a key difference compared to the lower-order identities — there are no “pure scalar” consistency relations for $n \geq 2$.) As an example of a novel consistency relation, we study in Sec. 5 the $n = 2$ tensor Ward identity relating the q^2 behavior of $\langle \gamma_{ij}(\vec{q}) \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle$ in the limit $\vec{q} \rightarrow 0$ to derivatives of the scalar 2-point function $\langle \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle$. As a check of our result, we verify that this new consistency relation is satisfied by the 3-point function computed by Maldacena in slow-roll inflation [3]. We conclude in Sec. 6 with a discussion of possible future investigations. Many of the technical details of our derivation are described in a series of Appendices.

The general Ward identities derived here constitute the complete list of consistency relations that any single-field cosmological scenario with constant growing modes must satisfy. The Planck data, which has found no evidence of non-Gaussianity in the squeezed limit [53], is so far consistent with the lowest-order consistency relation (1.1). The higher-order relations involving scalars and tensors, while unlikely to be tested in the near future, offer the complete checklist with which current and future-generation observations will put single-field inflation to test.

2 Symmetries of Cosmological Perturbations

Consider perturbations on a spatially-flat, Friedmann-Robertson-Walker (FRW) background driven by a scalar field $\phi = \phi(t)$. Following [3], we work in comoving (or uniform-density) gauge⁶, where the scalar field is unperturbed,

$$\phi = \phi(t), \quad h_{ij} = a^2(t) e^{2\zeta(\vec{x},t)} \left(e^{\gamma(\vec{x},t)} \right)_{ij}, \quad \gamma^i_i = 0, \quad \partial_i \gamma^i_j = 0. \quad (2.1)$$

In this gauge, scalar inhomogeneities are captured by ζ , while tensor modes are encoded in γ_{ij} .

If all the fields and gauge parameters are assumed to die off sufficiently fast at spatial infinity, then this gauge choice completely fixes the gauge, leaving no residual symmetries. Nevertheless, there is still room for diffeomorphisms whose gauge parameters do not die off at infinity. In the absence of tensors, for instance, it has been argued recently that conformal transformations on spatial slices are residual symmetries of the scalar sector [43, 44]. Our goal in this Section is to generalize these results to include tensor perturbations to all orders. Although we will eventually be interested in deriving Ward identities for inflationary correlation functions, we should stress that no slow-roll or quasi-de Sitter approximations will be necessary to identify these residual symmetries. They hold on any (spatially-flat) FRW background.

Consider a (possibly time-dependent) spatial diffeomorphism, $\xi^i(\vec{x}, t)$. Since this diffeomorphism is purely spatial, it does not disturb the gauge choice $\phi = \phi(t)$. If we can identify field transformations $\delta\zeta$ and $\delta\gamma_{ij}$ such that

$$\delta \left(e^{2\zeta} (e^\gamma)_{ij} \right) = \mathcal{L}_\xi \left(e^{2\zeta} (e^\gamma)_{ij} \right), \quad (2.2)$$

then these transformations will act like diffeomorphisms which leave the gauge fixed action invariant, and hence constitute a symmetry. The Lie derivative $\mathcal{L}_\xi(g_{ij})$ is defined as $\xi^k \partial_k g_{ij} + \xi^k \partial_i g_{kj} + \xi^k \partial_j g_{ik}$. We will solve (2.2) order by order in powers of γ , expanding the field variations and diffeomorphism parameter as follows:

$$\begin{aligned} \delta\gamma_{ij} &= \delta\gamma_{ij}^{(\gamma^0)} + \delta\gamma_{ij}^{(\gamma^1)} + \dots \\ \delta\zeta &= \delta\zeta^{(\gamma^0)} + \delta\zeta^{(\gamma^1)} + \dots \\ \xi_i &= \xi_i^{(\gamma^0)} + \xi_i^{(\gamma^1)} + \dots \end{aligned} \quad (2.3)$$

At each order we will impose that $\delta\gamma_{ij}$ be transverse and traceless.

⁶The form of h_{ij} in this gauge is closely related to the conformal decomposition introduced by York [54] and Lichnerowicz [55].

2.1 Zeroth-order in tensors

We first focus on the zeroth-order diffeomorphisms, $\xi_i^{(\gamma^0)}$, leaving the derivation of the first-order correction $\xi_i^{(\gamma^1)}$ to Sec. 2.2 below.

At zeroth-order in γ , expanding (2.2) gives

$$2\delta\zeta^{(\gamma^0)}\delta_{ij} + \delta\gamma_{ij}^{(\gamma^0)} = 2\xi_k^{(\gamma^0)}\partial^k\zeta\delta_{ij} + \partial_i\xi_j^{(\gamma^0)} + \partial_j\xi_i^{(\gamma^0)}. \quad (2.4)$$

Since $\delta\gamma_{ij}$ must be traceless, taking the trace allows us to solve for $\delta\zeta^{(\gamma^0)}$,

$$\delta\zeta^{(\gamma^0)} = \frac{1}{3}\partial^i\xi_i^{(\gamma^0)} + \xi_i^{(\gamma^0)}\partial^i\zeta. \quad (2.5)$$

Plugging back into (2.4), we can solve for $\delta\gamma_{ij}^{(\gamma^0)}$,

$$\delta\gamma_{ij}^{(\gamma^0)} = \partial_i\xi_j^{(\gamma^0)} + \partial_j\xi_i^{(\gamma^0)} - \frac{2}{3}\partial^k\xi_k^{(\gamma^0)}\delta_{ij}. \quad (2.6)$$

Taking the divergence of this expression yields an equation for $\xi_i^{(\gamma^0)}$,

$$\vec{\nabla}^2\xi_i^{(\gamma^0)} + \frac{1}{3}\partial_i\partial^j\xi_j^{(\gamma^0)} = 0. \quad (2.7)$$

Note that (2.7) admits no non-trivial solutions which vanish at infinity. We see this by taking its divergence, $\vec{\nabla}^2(\partial^i\xi_i^{(\gamma^0)}) = 0$, which for fields that vanish at infinity implies $\partial^i\xi_i^{(\gamma^0)} = 0$. Plugging this back into (2.7) gives $\vec{\nabla}^2\xi_i^{(\gamma^0)} = 0$, which then implies $\xi_i^{(\gamma^0)} = 0$. Hence $\xi_i^{(\gamma^0)}$ cannot vanish at infinity. As a corollary, even though we allow for $\xi_i^{(\gamma^0)}$ to depend on ζ , the argument above implies that it is in fact independent of ζ . To see this, imagine expanding $\xi_i^{(\gamma^0)}$ in powers of ζ , where each term in this expansion must separately satisfy (2.7). Since higher-order terms are proportional to powers of ζ , and thus vanish at infinity (since ζ does), they must be trivial.

Any (possibly time-dependent) $\xi_i^{(\gamma^0)}$ satisfying (2.7) preserves comoving gauge, to zeroth order in tensors, with field transformations (2.5) and (2.6). The condition (2.7) is the divergence of the conformal Killing equation on \mathbb{R}^3 , $\partial_i\xi_j + \partial_j\xi_i = \frac{2}{3}\partial_k\xi^k\delta_{ij}$, so any of the transformations of the conformal group on \mathbb{R}^3 (with possibly time dependent parameters) will satisfy it. These include, in addition to (time-dependent) spatial rotations and translations, the spatial dilation and 3 special conformal transformations (SCTs) [43, 44]

$$\begin{aligned} \xi_i^{\text{dilation}} &= \lambda(t)x_i \\ \xi_i^{\text{SCT}} &= 2b^j(t)x_jx_i - \vec{x}^2b_i(t). \end{aligned} \quad (2.8)$$

2.2 Higher order in tensors

It is worth emphasizing that while SCTs were initially believed to be good symmetries of the scalar sector only [43, 44], since they result in a departure from the transverse, traceless conditions for tensor modes, here we show that ξ_i^{SCT} can be corrected order by order in γ to preserve the transverse, traceless

conditions, and thus is promoted to a full-fledged gauge-preserving transformation. In contrast, we also show that spatial dilation is exact to all orders in tensors.

At first order in γ , (2.2) gives

$$2\delta\zeta^{(\gamma^1)}\delta_{ij} + 2\delta\zeta^{(\gamma^0)}\gamma_{ij} + \delta\gamma_{ij}^{(\gamma^1)} = 2\xi_k^{(\gamma^1)}\partial^k\zeta\delta_{ij} + 2\xi_k^{(\gamma^0)}\partial^k\zeta\gamma_{ij} + \partial_i\xi_j^{(\gamma^1)} + \partial_j\xi_i^{(\gamma^1)} + \mathcal{L}_{\xi^{(\gamma^0)}}\gamma_{ij}. \quad (2.9)$$

The tensor variation $\delta\gamma_{ij}^{(\gamma^1)}$ must be transverse and traceless, so as before, taking the trace allows us to solve for the scalar variation,

$$\delta\zeta^{(\gamma^1)} = \xi_i^{(\gamma^1)}\partial^i\zeta + \frac{1}{3}\partial^i\xi_i^{(\gamma^1)} + \frac{1}{3}\partial_i\xi_k^{(\gamma^0)}\gamma^{ik}. \quad (2.10)$$

Plugging back into (2.9), we can solve for the tensor variation,

$$\begin{aligned} \delta\gamma_{ij}^{(\gamma^1)} = & \partial_i\xi_j^{(\gamma^1)} + \partial_j\xi_i^{(\gamma^1)} - \frac{2}{3}\partial^k\xi_k^{(\gamma^1)}\delta_{ij} \\ & - \frac{2}{3}\partial_l\xi_k^{(\gamma^0)}\gamma^{lk}\delta_{ij} - \frac{2}{3}\partial^k\xi_k^{(\gamma^0)}\gamma_{ij} + \mathcal{L}_{\xi^{(\gamma^0)}}\gamma_{ij}. \end{aligned} \quad (2.11)$$

Taking a divergence, we find the following equation for $\xi_i^{(1)}$,

$$\nabla^2\xi_i^{(\gamma^1)} + \frac{1}{3}\partial_i\left(\partial^j\xi_j^{(\gamma^1)}\right) = \partial^j\left(\frac{2}{3}\partial_l\xi_k^{(\gamma^0)}\gamma^{lk}\delta_{ij} + \frac{2}{3}\partial^k\xi_k^{(\gamma^0)}\gamma_{ij} - \mathcal{L}_{\xi^{(\gamma^0)}}\gamma_{ij}\right). \quad (2.12)$$

The right-hand side contains known zeroth-order quantities only and thus sources $\xi_i^{(\gamma^1)}$. We are instructed to solve this equation subject to the boundary condition that $\xi_i^{(\gamma^1)}$ vanish at infinity. (Since $\xi_i^{(\gamma^1)}$ is first-order in γ , which itself goes to zero at infinity, there is no room for a homogeneous solution that would not be proportional to γ .) By taking a divergence of (2.12) it is easy to see that the solution is unique, albeit spatially non-local. Explicitly, the solution for $\xi_i^{(\gamma^1)}$ with these boundary conditions is

$$\xi_i^{(\gamma^1)} = -\frac{\partial^k}{\nabla^2}\left(\delta_i^\ell - \frac{1}{4}\frac{\partial_i\partial^\ell}{\nabla^2}\right)\left(\mathcal{L}_{\xi^{(\gamma^0)}}\gamma_{k\ell} - \frac{2}{3}\partial_m\xi_q^{(\gamma^0)}\gamma^{mq}\delta^{k\ell} - \frac{2}{3}\partial^m\xi_m^{(\gamma^0)}\gamma^{k\ell}\right). \quad (2.13)$$

There is no obstruction to extending this to any order in γ . At m -th order in the tensors, (2.2) reads

$$2\delta\zeta^{(\gamma^m)}\delta_{ij} + \delta\gamma_{ij}^{(\gamma^m)} = 2\xi_k^{(\gamma^m)}\partial^k\zeta\delta_{ij} + \partial_i\xi_j^{(\gamma^m)} + \partial_j\xi_i^{(\gamma^m)} + (\text{known lower order pieces}). \quad (2.14)$$

As before, taking the trace allows us to solve for $\delta\zeta^{(\gamma^m)}$, and then plugging back we can solve for $\delta\gamma_{ij}^{(\gamma^m)}$. Taking the divergence then yields an equation for $\xi_i^{(\gamma^m)}$ which reads

$$\nabla^2\xi_i^{(\gamma^m)} + \frac{1}{3}\partial_i\left(\partial_j\xi^j^{(\gamma^m)}\right) = (\text{known lower order pieces}). \quad (2.15)$$

The same line of argument as before leads us to conclude that (2.15) has a unique solution.

Note that the dilation transformation, corresponding to $\xi_i^{(\gamma^0), \text{dil.}} = \lambda x_i$, is exceptional in that it does not get corrected at any order in the tensors. Indeed, from (2.13) it is straightforward to show that $\xi_i^{(\gamma^1), \text{dil.}} = 0$ in this case. This is true to all orders: $\xi_i^{\text{dil.}} = \lambda x_i$ is an *exact* symmetry, with

$$\begin{aligned} \delta^{\text{dilation}}\zeta(\vec{x}) &= \lambda(1 + x^i\partial_i\zeta(\vec{x})), \\ \delta^{\text{dilation}}\gamma_{ij}(\vec{x}) &= \lambda x^k\partial_k\gamma_{ij}(\vec{x}). \end{aligned} \quad (2.16)$$

2.3 Adiabatic modes

By the argument above, the residual transformations ξ_i have a field-independent part which does not fall off at spatial infinity, and therefore map field configurations which fall off at infinity into those which do not. Nevertheless, by generalizing Weinberg's adiabatic mode argument [36], we will see — at least to linear order in perturbations — that a subset of these transformations can be extended to physical configurations with suitable fall-off behavior at infinity. In other words, this subset of transformations can be thought of as the $q \rightarrow 0$ limit of transformations which do fall off at infinity, and therefore generate new physical solutions.

To be extendible to a physical mode, a configuration must satisfy the equations of motion away from zero momenta, that is, it cannot “accidentally” solve the equations simply because it is being hit by spatial derivatives. The only equations for which this may happen are the constraint equations of General Relativity, since the evolution equations have terms with second time derivatives and hence no spatial derivatives (since all the equations are second order). At linear order, the momentum and Hamiltonian constraints are

$$\begin{aligned} 2\partial_i \left(H N_1 - \dot{\zeta} \right) - \frac{1}{2} \vec{\nabla}^2 N_i + \frac{1}{2} \partial_i (\partial_j N^j) &= 0; \\ \partial_i (\partial^i \zeta + H N^i) + \frac{a^2 \dot{H}}{c_s^2} N_1 + 3a^2 H \left(H N_1 - \dot{\zeta} \right) &= 0. \end{aligned} \quad (2.17)$$

Here, N and N_i represent the lapse and shift of the metric, N_1 is the perturbation of N , H is the Hubble parameter, and c_s is the sound speed. For field configurations with suitable fall-off behavior at spatial infinity, we can solve these equations for N_1 and N^i by inverting $\vec{\nabla}^2$ following [3]:

$$N_1 = \frac{\dot{\zeta}}{H}; \quad N_i = -\frac{\partial_i \zeta}{H} - \frac{a^2 \dot{H}}{H c_s^2} \frac{\partial_i}{\vec{\nabla}^2} N_1. \quad (2.18)$$

Meanwhile, to linear order we only need the non-linear part of the transformation laws,

$$\delta \zeta = \frac{1}{3} \partial_i \xi^i, \quad \delta N^i = \dot{\xi}^i, \quad \delta N_1 = 0. \quad (2.19)$$

Since this represents a diffeomorphism from the unperturbed solution, and the equations of General Relativity are diffeomorphism invariant, these transformations automatically preserve the constraints (2.17). To be extendible to a physical field configuration, however, it must also be consistent with the solution (2.18). To start with, since $\delta N_1 = 0$, the first of (2.18) implies

$$\partial_i \dot{\xi}^i = 0. \quad (2.20)$$

Meanwhile, the solution for N^i requires

$$\dot{\xi}^i = -\frac{1}{3H} \partial_i \partial_j \xi^j. \quad (2.21)$$

Any solution to (2.20), (2.21) and the gauge-preserving condition (2.7) represents a gauge transformation of the unperturbed solution which can be extended to a physical field configuration, *i.e.*, an *adiabatic mode*.

Let us decompose the diffeomorphism as

$$\xi^i = \bar{\xi}^i + \xi_{\text{T}}^i, \quad (2.22)$$

where $\partial^i \xi_{\text{T}}^i = 0$. While not unique (since ξ^i does not fall off at infinity), such decomposition can always be done. From (2.20), we conclude that

$$\partial_i \dot{\bar{\xi}}^i = 0. \quad (2.23)$$

Any time-dependent contribution to $\bar{\xi}^i$ must be divergence-free, and hence can be absorbed into a redefinition of ξ_{T}^i . We can therefore assume $\bar{\xi}^i$ is time-independent without loss of generality. Equation (2.21) then reduces to

$$\dot{\xi}_{\text{T}}^i = -\frac{1}{3H} \partial_i \partial_j \bar{\xi}^j, \quad (2.24)$$

with solution

$$\xi_{\text{T}}^i = -\frac{1}{3} \int^t \frac{dt'}{H(t')} \partial_i \partial_j \bar{\xi}^j. \quad (2.25)$$

Finally, to satisfy (2.7) at all times, $\bar{\xi}^i$ must itself be a solution, *i.e.*,

$$\vec{\nabla}^2 \bar{\xi}_i + \frac{1}{3} \partial_i \partial^j \bar{\xi}_j = 0. \quad (2.26)$$

The physically allowed diffeomorphisms are therefore given by

$$\xi^i = \left(1 + \int^t \frac{dt'}{H(t')} \vec{\nabla}^2 \right) \bar{\xi}^i. \quad (2.27)$$

where $\bar{\xi}_i$ is any time-independent, gauge-preserving transformation, that is, any time-independent transformation satisfying (2.26). For example, the physical extension of a time-independent SCT $\bar{\xi}_i^{\text{SCT}} = 2b^j x_j x_i - \vec{x}^2 b_i$, which as mentioned earlier satisfies (2.26), is

$$\xi_i = 2b^j x_j x_i - \vec{x}^2 b_i - 2b_i \int^t \frac{dt'}{H(t')}, \quad (2.28)$$

where the correction is recognized as a time-dependent translation, in agreement with [43, 44]. A time-independent spatial dilation, $\bar{\xi}_i^{\text{dilation}} = \lambda x^i$, on the other hand, does not get corrected and therefore corresponds to an adiabatic mode [36].

More generally, note that ζ always transforms linearly under the time-dependent correction ξ_{T}^i , since $\partial_i \xi_{\text{T}}^i = 0$ by definition. Specifically, the field transformations (to zeroth order in tensors) are

$$\delta \zeta = \frac{1}{3} \partial_i \bar{\xi}^i + \xi_i \partial^i \zeta; \quad \delta \gamma_{ij} = \bar{\delta} \gamma_{ij} + \vec{\nabla}^2 (\partial_i \bar{\xi}_j + \partial_j \bar{\xi}_i) \int^t \frac{dt'}{H(t')}, \quad (2.29)$$

where, according to (2.6), $\bar{\delta} \gamma_{ij} = \partial_i \bar{\xi}_j + \partial_j \bar{\xi}_i - 2\partial^k \bar{\xi}_k \delta_{ij}/3$.

If $\bar{\xi}^i$ is itself transverse such that the two terms of (2.26) vanish separately, then the physical symmetry receives no time-dependent correction:

$$\xi^i = \bar{\xi}_{\text{T}}^i, \quad (2.30)$$

with $\partial_i \bar{\xi}_T^i = \vec{\nabla}^2 \bar{\xi}_T^i = 0$. We will refer to such symmetries as “tensor symmetries”, in the sense that they effect nonlinear shifts in the tensor but not the scalar perturbations.

The constraints that we derive in this Section, that our residual diffeomorphism in fact solves the constraints at finite momenta, are necessary only for the $O(\gamma^0)$ part of the diffeomorphism (we adopt the implicit notation that ξ_i without superscript “ γ^0 ” is the $O(\gamma^0)$ part). For the $O(\gamma^1)$ and higher parts of the diffeomorphism, since γ falls off at infinity, there is no need to check that the constraints are satisfied, and we simply extend the $O(\gamma^1)$ part of the physical transformation to higher order in the tensors using the procedure of Sec. 2.2.

2.4 Taylor expansion

As shown in (2.27), the physical symmetries are expressed in terms of time-independent diffeomorphisms $\bar{\xi}^i$ satisfying the gauge preserving condition (2.26). In general, this can be solved in a power series:

$$\bar{\xi}_i \equiv \sum_{n=0}^{\infty} \bar{\xi}_i^{(n)} = \sum_{n=0}^{\infty} \frac{1}{(n+1)!} M_{i\ell_0 \dots \ell_n} x^{\ell_0} \dots x^{\ell_n}, \quad (2.31)$$

where the array $M_{i\ell_0 \dots \ell_n}$ is constant and symmetric in its last $n+1$ indices.⁷ Equation (2.7) translates to the condition:

$$M_{i\ell\ell\ell_2 \dots \ell_n} = -\frac{1}{3} M_{i\ell\ell_2 \dots \ell_n} \quad (n \geq 1). \quad (2.32)$$

Each diffeomorphism $\bar{\xi}_i^{(n)}$ in the series generates its own field transformations (2.5) and (2.6). To derive the Ward identities consistently at lowest non-trivial orders in the tensors, we will need to work to linear order in γ for the field transformations. Using (2.13), the transformations in momentum space for each n are given by⁸

$$\begin{aligned} \delta \zeta^{(n)}(\vec{k}) &= \frac{(-i)^n}{3n!} M_{i\ell_1 \dots \ell_n} \frac{\partial^n}{\partial k_{\ell_1} \dots \partial k_{\ell_n}} \left((2\pi)^3 \delta^3(\vec{k}) \right) \\ &- \frac{(-i)^n}{n!} M_{i\ell_0 \dots \ell_n} \left(\delta^{i\ell_0} \frac{\partial^n}{\partial k_{\ell_1} \dots \partial k_{\ell_n}} + \frac{k^i}{n+1} \frac{\partial^{n+1}}{\partial k_{\ell_0} \dots \partial k_{\ell_n}} \right) \zeta(\vec{k}) \\ &+ \frac{(-i)^n}{n!} M_{\ell\ell_0 \dots \ell_n} \Upsilon^{\ell\ell_0 ij}(\hat{k}) \frac{\partial^n}{\partial k_{\ell_1} \dots \partial k_{\ell_n}} \gamma_{ij}(\vec{k}) + \dots \\ \delta \gamma_{ij}^{(n)}(\vec{k}) &= \frac{(-i)^n}{n!} \left(M_{ij\ell_1 \dots \ell_n} + M_{ji\ell_1 \dots \ell_n} - \frac{2}{3} \delta_{ij} M_{\ell\ell\ell_1 \dots \ell_n} \right) \frac{\partial^n}{\partial k_{\ell_1} \dots \partial k_{\ell_n}} \left((2\pi)^3 \delta^3(\vec{k}) \right) \\ &- \frac{(-i)^n}{n!} M_{\ell\ell_0 \dots \ell_n} \left(\delta^{\ell\ell_0} \frac{\partial^n}{\partial k_{\ell_1} \dots \partial k_{\ell_n}} + \frac{k^\ell}{n+1} \frac{\partial^{n+1}}{\partial k_{\ell_0} \dots \partial k_{\ell_n}} \right) \gamma_{ij}(\vec{k}) \\ &+ \frac{(-i)^n}{n!} M_{rs\ell_1 \dots \ell_n} \Gamma^{rs}{}_{ijk\ell}(\hat{k}) \frac{\partial^n}{\partial k_{\ell_1} \dots \partial k_{\ell_n}} \gamma^{k\ell}(\vec{k}) + \dots \end{aligned} \quad (2.33)$$

⁷We will ignore the constant term in the Taylor series expansion, $\bar{\xi}_i = M_i$, since this represents an unbroken (time-independent) spatial translation.

⁸See Appendix A for Fourier transform conventions. Our symmetrization convention is $T_{(ab)} \equiv \frac{1}{2}(T_{ab} + T_{ba})$. Note that the transformations on ζ and γ expressed here are affected by the time-independent diffeomorphism $\bar{\xi}^i$, not its time-dependent deformation (into an adiabatic mode).

where

$$\begin{aligned}\Upsilon_{rsij}(\hat{k}) &\equiv \frac{1}{2}\delta_{s(i}\delta_{j)r} - \frac{1}{4}\hat{k}_s\hat{k}_{(i}\delta_{j)r} + \frac{5}{12}\hat{k}_i\hat{k}_j\delta_{rs}; \\ \Gamma_{rsijk\ell}(\hat{k}) &\equiv 2\left(\delta_{s(i} - \hat{k}_r\hat{k}_{(i}\right)\delta_{j)(k}\delta_{\ell)r} - \left(\delta_{ij} - \hat{k}_i\hat{k}_j\right)\delta_{r(k}\delta_{\ell)s} - \frac{2}{3}\delta_{i(k}\delta_{\ell)j}\delta_{rs},\end{aligned}\quad (2.34)$$

with $\hat{k}^i \equiv k^i/k$. The ellipses in (2.33) indicate higher-order corrections in the fields. Specifically, in $\delta\zeta$ the ellipses include terms of order $\zeta\gamma$, γ^2 , *etc.*, while in $\delta\gamma$ they include terms $\sim \gamma^2$ and higher-order in γ .

One further “adiabatic” condition must be imposed for these transformations to correspond to physically-realized symmetries. As before, we want to consider only those configurations which can be smoothly extended to (*i.e.*, thought of as the long-wavelength limit of) a *physical* mode with suitable fall-off behavior at spatial infinity. The additional condition arises by demanding that the non-linear shift in $\gamma_{i\ell_0}$ in (2.33) remains transverse when extended to a physical mode. To see the constraint, we imagine smoothing out the momentum profile around $\vec{q} = 0$. To ensure that transversality is preserved in Fourier space at finite momentum, $\hat{q}^i\delta\gamma_{i\ell_0}(\vec{q}) = 0$, we let the $M_{i\ell_0\dots\ell_n}$ coefficients become \hat{q} -dependent such that⁹

$$\hat{q}^i\left(M_{i\ell_0\ell_1\dots\ell_n}(\hat{q}) + M_{\ell_0i\ell_1\dots\ell_n}(\hat{q}) - \frac{2}{3}\delta_{i\ell_0}M_{\ell\ell_1\dots\ell_n}(\hat{q})\right) = 0. \quad (2.35)$$

To determine the space of possible M ’s, we proceed as follows: we first determine the M ’s for some reference direction in momentum space, say $\hat{q} = \hat{z} = (0, 0, 1)$. These are all arrays which satisfy (2.35) for $\hat{q} = \hat{z}$ as well as (2.32), and which are symmetric in the last $n + 1$ indices. We then get the remaining q dependence by applying to all the indices of M some standard rotation which takes \hat{z} into \hat{q} .

To illustrate the construction, we discuss the lowest-order transformations in some detail:

- $n = 0$: In this simplest case, the symmetry transformation is linear, $\bar{\xi}_i^{(n=0)} = M_{i\ell_0}x^{\ell_0}$. There are no constraints coming from symmetry in the last indices or from (2.32). A general array $M_{i\ell_0}$ can be decomposed into a trace part, a symmetric traceless part and an anti-symmetric part:

$$M_{i\ell_0} = \lambda\delta_{i\ell_0} + S_{i\ell_0} + \omega_{i\ell_0}, \quad (2.36)$$

where $S_{i\ell_0} = S_{\ell_0i}$, $\omega_{i\ell_0} = -\omega_{\ell_0i}$, and $S_{ii} = 0$. The anti-symmetric part, parametrized by $\omega_{i\ell_0}$, corresponds to spatial rotations, which are linearly realized and hence will not concern us. The trace part, parametrized by λ , corresponds to a dilation, $M_{i\ell_0}^{\text{dilation}} = \lambda\delta_{i\ell_0}$. Finally, the symmetric traceless part, parametrized by $S_{i\ell_0}$, describes a volume-preserving, anisotropic rescaling of coordinates, $M_{i\ell_0}^{\text{anise}} = S_{i\ell_0}$, under which ζ transforms linearly and $\gamma_{i\ell_0}$ shifts by a constant. The constraint (2.35) tells us that $S_{i\ell_0}(\vec{q})$ is transverse:

$$\hat{q}^i S_{i\ell_0}(\vec{q}) = 0. \quad (2.37)$$

The array $S_{i\ell_0}(\vec{q})$ is therefore symmetric, transverse and traceless, and thus describes 2 physical tensor symmetries. Therefore, for $n = 0$ we have in total 3 physical symmetries (1 dilation and 2 anisotropic rescalings).

⁹Here, we need only check that the nonlinear (field-independent) part of $\delta\gamma_{i\ell_0}(\vec{q})$ is transverse. The field-dependent parts are guaranteed to be transverse by construction *i.e.* $\partial^i\delta\gamma_{i\ell_0}^{(\gamma^1)} = 0$ (Section 2.2).

- $n = 1$: In this next simplest case, (2.32) requires

$$M_{i\ell\ell} = -\frac{1}{3}M_{\ell i\ell}. \quad (2.38)$$

This gives 3 conditions on the 18 algebraically independent components of $M_{i\ell_0\ell_1}$. These include the 3 SCTs (2.8) of the scalar sector, with constant b^i :

$$M_{i\ell_0\ell_1}^{\text{SCT}} = b_{\ell_1}\delta_{i\ell_0} + b_{\ell_0}\delta_{i\ell_1} - b_i\delta_{\ell_0\ell_1}. \quad (2.39)$$

Under the SCTs, ζ transforms non-linearly while $\gamma_{i\ell_0}$ transforms linearly. In particular, (2.35) is automatically satisfied, for $M_{i\ell_0\ell_1}^{\text{SCT}} + M_{\ell_0i\ell_1}^{\text{SCT}} - \frac{2}{3}\delta_{i\ell_0}M_{\ell\ell\ell_1}^{\text{SCT}} = 0$. The non-linear shift in ζ describes in real space the generation of a linear-gradient profile for the curvature perturbation.

The remaining symmetries are “tensor” symmetries,

$$M_{i\ell\ell} = M_{\ell i\ell} = 0 \quad (n = 1 \text{ tensor symmetries}), \quad (2.40)$$

under which ζ transforms linearly while γ transforms non-linearly. The shift in γ describes the generation of a linear-gradient tensor mode. The transversality condition (2.35) requires

$$\hat{q}^i (M_{i\ell_0\ell_1}(\vec{q}) + M_{\ell_0i\ell_1}(\vec{q})) = 0. \quad (2.41)$$

This imposes 8 conditions on the 12 algebraically independent components satisfying (2.40), leaving us with 4 tensor symmetries. Therefore, for $n = 1$ we have in total 7 physical symmetries (3 SCTs and 4 tensor linear-gradient transformations).

For $n \geq 2$, the counting of symmetries works as follows. The array $M_{i\ell_0\dots\ell_n}$, being symmetric in its last $n + 1$ indices, starts out with $\frac{3}{2}(n + 3)(n + 2)$ algebraically independent components. The trace constraint (2.32) gives $\frac{3}{2}(n + 1)n$ conditions, while the transversality condition (2.35) imposes $3(2n + 1)$ relations on the coefficients. In total, we therefore have

$$\frac{3}{2}(n + 3)(n + 2) - \frac{3}{2}(n + 1)n - 3(2n + 1) = 6 \text{ symmetries} \quad (2.42)$$

at each order $n \geq 2$. These include 4 tensor symmetries, under which only γ transforms non-linearly, plus 2 “mixed” symmetries, under which both ζ and γ transform non-linearly.

2.5 Physical interpretation

To shed light on the physical origin of these symmetries, consider expanding the metric about the origin

$$h_{ij} = \sum_{n=0}^{\infty} \frac{1}{n!} H_{ij\ell_1\dots\ell_n} x^{\ell_1} \dots x^{\ell_n}, \quad (2.43)$$

where the array $H_{ij\ell_1\dots\ell_n}$ is constant, and is symmetric both in its first 2 indices and its last n indices. At linear order, the metric is given by $h_{ij} = (1 + 2\zeta)\delta_{ij} + \gamma_{ij}$. In particular, $\gamma_{ij} = h_{ij} - \frac{1}{3}\delta_{ij}h_{\ell}^{\ell}$ is transverse, which implies the comoving gauge condition

$$\partial^j h_{ij} = \frac{1}{3}\partial_i h_{\ell}^{\ell}. \quad (2.44)$$

This translates into a trace condition on the coefficients

$$H_{i\ell\ell_2\ldots\ell_n} = \frac{1}{3}H_{\ell\ell i\ell_2\ldots\ell_n}. \quad (2.45)$$

(As a check, for the pure gauge configuration $h_{ij} = \partial_i \bar{\xi}_j + \partial_j \bar{\xi}_i = \sum_{n=0}^{\infty} \frac{1}{n!} (M_{ij\ell_1\ldots\ell_n} + M_{ji\ell_1\ldots\ell_n}) x^{\ell_1} \cdots x^{\ell_n}$, this trace condition reproduces (2.32).)

As before, we restrict our attention to metric configurations which can be smoothly extended to a physical profile with suitable fall-off behavior at spatial infinity. In momentum space, the tensor profile is

$$\gamma_{ij}(\vec{q}) = \sum_{n=0}^{\infty} \frac{(-i)^n}{n!} \left(H_{ij\ell_1\ldots\ell_n} - \frac{1}{3} \delta_{ij} H_{\ell\ell\ell_1\ldots\ell_n} \right) \frac{\partial^n}{\partial q_{\ell_1} \cdots \partial q_{\ell_n}} \left((2\pi)^3 \delta^3(\vec{q}) \right). \quad (2.46)$$

To enforce the finite-momentum transversality condition $\hat{q}^i \gamma_{ij}(\vec{q}) = 0$, the $H_{ij\ell_1\ldots\ell_n}$ must become \hat{q} -dependent such that

$$\hat{q}^i \left(H_{ij\ell_1\ldots\ell_n}(\hat{q}) - \frac{1}{3} \delta_{ij} H_{\ell\ell\ell_1\ldots\ell_n}(\hat{q}) \right) = 0. \quad (2.47)$$

This is the generalization of (2.35).

The counting works as follows. The array $H_{ij\ell_1\ldots\ell_n}$, being symmetric in its first 2 and last n indices, starts out with $3(n+1)(n+2)$ algebraically independent components. The trace condition (2.45) gives $\frac{3}{2}(n+1)n$ conditions, while the transversality condition (2.47) imposes additional relations on the coefficients. At $n=0$ and $n=1$, we find that the number of H coefficients matches the number of M 's, respectively 3 and 7. This reflects the fact that h_{ij} and its first-derivatives can be made trivial at a point, which defines Riemann normal coordinates. At $n=2$, H has 12 components, while recall that M only has 6. This leaves us with 6 physical components, which matches the number of algebraically independent components of the Riemann tensor $R_{ikj\ell}$ in 3 dimensions.¹⁰

2.6 Noether charges

The Noether charge associated with the symmetry transformation $(\delta\zeta, \delta\gamma_{ij})$ is:

$$Q = \frac{1}{2} \int d^3x \left(\{ \Pi_{\zeta}(x), \delta\zeta(x) \} + \{ \Pi_{\gamma}^{ij}(x), \delta\gamma_{ij}(x) \} \right), \quad (2.48)$$

where $\Pi_{\zeta} \equiv \delta\mathcal{L}/\delta\dot{\zeta}$, $\Pi_{\gamma}^{ij} \equiv \delta\mathcal{L}/\delta\dot{\gamma}_{ij}$ are the canonical momenta, and where the anti-commutator, denoted by $\{, \}$, makes Q Hermitian in the quantum theory. The integration over an infinite volume may be divergent and the charge undefined, just as in theories with massless Goldstone bosons, but the commutator of Q with local fields is well-defined. In particular, it is straightforward to check with the above definition that the charge generates the symmetry transformation, $[Q, \zeta] = -i\delta\zeta$ and $[Q, \gamma_{ij}] = -i\delta\gamma_{ij}$, as it should. In

¹⁰For $n \geq 3$, we find fewer components than the most general coefficients in the Riemann normal expansion, which are given by derivatives of Riemann. For instance, at $n=3$, H has 18 components, while M again has 6, leaving us with 12 physical components. This is 3 fewer than the number of independent $\partial_m R_{ikj\ell}$ coefficients in the Riemann normal expansion. Although we have not checked this in detail, this must be because the metric profile we are considering is not the most general, but is restricted by the adiabatic transversality condition (2.47). This must translate in Riemann normal coordinates as adiabatic transversality constraints on derivatives of the Riemann tensor.

practice, we will regularize the charge by turning the integral over volume into a Fourier space quantity with the associated momentum understood to be taken to zero eventually.

For the symmetry (2.27), the Noether charge Q takes the form

$$Q = \bar{Q} + \Delta\bar{Q} \int^t \frac{dt'}{H(t')}, \quad (2.49)$$

where \bar{Q} and $\Delta\bar{Q}$ have no explicit time dependence. Q has explicit time dependence, but since it is a symmetry its total time derivative vanishes. We will be particularly interested in the part of these operators that generate the non-linear field transformations

$$\begin{aligned} \bar{Q}_0 &= \int d^3x \partial_i \bar{\xi}_j(\vec{x}) \left(\frac{1}{3} \delta^{ij} \Pi_\zeta(\vec{x}) + 2\Pi_\gamma^{ij}(\vec{x}) \right) = i \int \frac{d^3q}{(2\pi)^3} q_i \bar{\xi}_j(-\vec{q}) \left(\frac{1}{3} \delta^{ij} \Pi_\zeta(\vec{q}) + 2\Pi_\gamma^{ij}(\vec{q}) \right); \\ \Delta\bar{Q}_0 &= \int d^3x \left(\vec{\nabla}^2 \partial_i \bar{\xi}_j(\vec{x}) \right) 2\Pi_\gamma^{ij}(\vec{x}) = -i \int \frac{d^3q}{(2\pi)^3} q^2 q_i \bar{\xi}_j(-\vec{q}) 2\Pi_\gamma^{ij}(\vec{q}). \end{aligned} \quad (2.50)$$

For the diffeomorphisms $\bar{\xi}_i^{(n)}$ in the Taylor expansion (2.31), the generators $\bar{Q}_0^{(n)}$ are explicitly given by:

$$\bar{Q}_0^{(n)} = \lim_{\vec{q} \rightarrow 0} \frac{(-i)^n}{n!} M_{i\ell_0 \dots \ell_n} \frac{\partial^n}{\partial q_{\ell_1} \dots \partial q_{\ell_n}} \left(\frac{1}{3} \delta^{i\ell_0} \Pi_\zeta(\vec{q}) + 2\Pi_\gamma^{i\ell_0}(\vec{q}) \right), \quad (2.51)$$

where we have used $\Pi_\gamma^i{}_i = 0$.

It is worth emphasizing that $\Delta\bar{Q}$ is associated with the diffeomorphism $\xi^i = \vec{\nabla}^2 \bar{\xi}^i$, with $\bar{\xi}$ satisfying $\vec{\nabla}^2 \bar{\xi}_i + \partial_i \partial^j \bar{\xi}_j / 3 = 0$ (see (2.26) & (2.27)), implying the diffeomorphism $\xi^i = \vec{\nabla}^2 \bar{\xi}^i$ is divergence free and is thus a tensor symmetry. As such, by the argument at the end of Sec. 2.3, the diffeomorphism receives no time-dependent correction and $\Delta\bar{Q}$ by itself is a good conserved charge.

3 Inflationary Consistency Relations as Ward Identities

In this Section we derive the Ward identities associated with the non-linearly realized symmetries identified above. Analogously to the low-energy theorems for pions [38, 39], the Ward identities constrain the soft limit of various inflationary correlation functions. As particular cases of these, we will recover in Sec. 4 the standard consistency relations [3–5], which determine the q^0 and q behavior of the soft limits in terms of lower-order correlation functions. Beyond these, the Ward identities yield an infinite network of further consistency relations, which at each order partially constrain the q^n behavior of correlation functions.

The Ward identities are obtained by taking the in-in vacuum expectation value of the action of the charges

$$\langle \Omega | [Q, \mathcal{O}] | \Omega \rangle = -i \langle \Omega | \delta \mathcal{O} | \Omega \rangle, \quad (3.1)$$

where $|\Omega\rangle$ is the (Heisenberg picture) in-vacuum of the interacting theory, and $\mathcal{O}(\vec{k}_1, \dots, \vec{k}_N)$ denotes an equal-time product of N scalar and tensor fields:¹¹

$$\mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) = \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_M) \cdot \mathcal{O}_{i_{M+1}j_{M+1}, \dots, i_N j_N}^\gamma(\vec{k}_{M+1}, \dots, \vec{k}_N), \quad (3.2)$$

¹¹Since this is an equal-time product, the fields all commute with each other, hence their ordering is irrelevant.

where $0 \leq M \leq N$, with $\mathcal{O}^\zeta \equiv \prod_{a=1}^M \zeta(\vec{k}_a, t)$ and $\mathcal{O}_{i_{M+1}j_{M+1}, \dots, i_N j_N}^\gamma \equiv \prod_{b=M+1}^N \gamma_{i_b j_b}(\vec{k}_b, t)$. Note that the tensor indices are arbitrary — a subset of these can be contracted among themselves or not. To avoid cluttering the notation, we will refrain from explicitly writing these indices unless necessary. We work within the Heisenberg picture unless stated otherwise.

3.1 Time-independent identity

Substituting the general expression (2.49) for Q , the Ward identity becomes

$$\langle \Omega | [\bar{Q}, \mathcal{O}] | \Omega \rangle + \langle \Omega | [\Delta \bar{Q}, \mathcal{O}] | \Omega \rangle \int^t \frac{dt'}{H(t')} = -i \langle \Omega | \bar{\delta} \mathcal{O} | \Omega \rangle - i \langle \Omega | \bar{\delta}_\Delta \mathcal{O} | \Omega \rangle \int^t \frac{dt'}{H(t')}, \quad (3.3)$$

Although this explicitly depends on time, we now argue that the identity holds for the time-independent components \bar{Q} and $\Delta \bar{Q}$ separately. The argument is straightforward. First, consider a time-independent tensor symmetry ξ_T , *i.e.*, one under which γ_{ij} shifts non-linearly while ζ transforms linearly. By definition, this satisfies $\partial_i \xi_T^i = \vec{\nabla}^2 \xi_T^i = 0$. As discussed at the end of Sec. 2.3, such a diffeomorphism receives no time-dependent correction and represents an honest-to-goodness symmetry. The corresponding charge $Q = \bar{Q}_T$ therefore satisfies

$$\langle \Omega | [\bar{Q}_T, \mathcal{O}] | \Omega \rangle = -i \langle \Omega | \bar{\delta}_T \mathcal{O} | \Omega \rangle. \quad (3.4)$$

In particular, since the generator $\Delta \bar{Q}$ appearing in (3.3) is itself a tensor symmetry, as argued in Sec. 2.3, we have

$$\langle \Omega | [\Delta \bar{Q}, \mathcal{O}] | \Omega \rangle = -i \langle \Omega | \bar{\delta}_\Delta \mathcal{O} | \Omega \rangle. \quad (3.5)$$

The time-dependent pieces in (3.3) therefore cancel out, leaving us with

$$\langle \Omega | [\bar{Q}, \mathcal{O}] | \Omega \rangle = -i \langle \Omega | \bar{\delta} \mathcal{O} | \Omega \rangle. \quad (3.6)$$

Thus the Ward identity holds for any time-independent spatial diffeomorphism $\bar{\xi}^i$, subject to the gauge-preserving condition (2.7). In particular, it holds for each $\bar{\xi}^{(n)}$ in the Taylor expansion (2.31).

3.2 The left-hand side

We begin with the left-hand side of (3.6):

$$\langle \Omega | [\bar{Q}, \mathcal{O}] | \Omega \rangle = -2i \operatorname{Im} \langle \Omega | \mathcal{O} \bar{Q} | \Omega \rangle, \quad (3.7)$$

where we have used the fact that \bar{Q} and \mathcal{O} are hermitian. The operators are assumed to be evaluated at some (late) time t . For the most part, we suppress this t dependence, but its presence will be important in some of our arguments below.

The in-vacuum $|\Omega\rangle$ of the full interacting theory (*i.e.*, the Bunch-Davies vacuum) is related to the free vacuum $|0\rangle$ by:

$$|\Omega\rangle = \Omega(-\infty) |0\rangle, \quad (3.8)$$

where

$$\Omega(t_i) \equiv U^\dagger(t_i, 0) U_0(t_i, 0), \quad (3.9)$$

with U and U_0 denoting respectively the full and free time evolution operators. This kind of statement should strictly speaking be understood in the context of a wave-packet (see [56]). In a similar way:

$$\Omega^\dagger(-\infty) \bar{Q} \Omega(-\infty) = \bar{Q}_0, \quad (3.10)$$

where \bar{Q}_0 is the free part of \bar{Q} , and it generates transformations in ζ and γ that are independent of the fields i.e. only the nonlinear transformations (2.50), since these are the symmetries of the action of quadratic fluctuations. This statement, that a Heisenberg operator sandwiched between $\Omega(-\infty)$ and its inverse equals its free part, is strictly true for a general operator only if it is evaluated in the far past [56]. However, if the operator happens to be independent of time, the time of evaluation becomes inconsequential. For our argument here, we treat \bar{Q} as time independent, and apply (3.10) with \bar{Q} evaluated at some time t . In reality this is not quite correct, and a full justification for our procedure is provided in Appendix B.

Thus, we have

$$\bar{Q}|\Omega\rangle = \Omega(-\infty)\bar{Q}_0|0\rangle. \quad (3.11)$$

The action of \bar{Q}_0 on the free vacuum $|0\rangle$ can be worked out by using wave-functionals [57, 58]. Let us use $|\zeta_0, \gamma_0\rangle$ to denote eigenstates of the *free* Heisenberg operators ζ_0 and γ_0 (suppressing the indices on γ_0 to avoid clutter). Inserting a complete set of eigenstates, and using (2.50), we find:¹²

$$\begin{aligned} \bar{Q}_0|0\rangle &= \int D\zeta_0 D\gamma_0 \bar{Q}_0|\zeta_0, \gamma_0\rangle \langle\zeta_0, \gamma_0|0\rangle \\ &= i \int \frac{d^3q}{(2\pi)^3} q_i \bar{\xi}_j(-\vec{q}) \int D\zeta_0 D\gamma_0 \left(\frac{1}{3} \delta^{ij} \Pi_{\zeta_0}(\vec{q}) + 2\Pi_{\gamma_0}^{ij}(\vec{q}) \right) |\zeta_0, \gamma_0\rangle \langle\zeta_0, \gamma_0|0\rangle \\ &= i \int \frac{d^3q}{(2\pi)^3} q_i \bar{\xi}_j(-\vec{q}) \int D\zeta_0 D\gamma_0 |\zeta_0, \gamma_0\rangle \left(-\frac{1}{3} \delta^{ij} i \frac{\delta}{\delta\zeta_0(-\vec{q})} - 2i \frac{\delta}{\delta\gamma_{0ij}(-\vec{q})} \right) \langle\zeta_0, \gamma_0|0\rangle. \end{aligned} \quad (3.12)$$

The free vacuum wavefunctional $\langle\zeta_0, \gamma_0|0\rangle$ takes the Gaussian form:

$$\langle\zeta_0, \gamma_0|0\rangle = \mathcal{N} \exp \left[- \int \frac{d^3k}{(2\pi)^3} \left(\frac{1}{2} \zeta_0(\vec{k}) D_\zeta(k) \zeta_0(-\vec{k}) + \frac{1}{4} \gamma_{0ij}(\vec{k}) D_\gamma(k) \gamma_0^{ij}(-\vec{k}) \right) \right], \quad (3.13)$$

where \mathcal{N} is an irrelevant normalization. The real part of the kernels D is fixed by the power spectra¹³

$$\text{Re} D_\zeta(k) = \frac{1}{2P_\zeta(k)}; \quad \text{Re} D_\gamma(k) = \frac{1}{2P_\gamma(k)}. \quad (3.14)$$

Meanwhile, the imaginary part is related to the real part by the Schrödinger's equation on the wavefunctional [58], though we do not need its explicit form. Taking functional derivatives of the free vacuum wavefunctional we have

$$\bar{Q}_0|0\rangle = - \int \frac{d^3q}{(2\pi)^3} q_i \bar{\xi}_j(-\vec{q}) \left(\frac{1}{3} D_\zeta(q) \delta^{ij} \zeta_0(\vec{q}) + D_\gamma(q) \gamma_0^{ij}(\vec{q}) \right) |0\rangle. \quad (3.15)$$

¹² Focusing on scalar perturbations for simplicity, the general relation in real space for the momentum acting on an arbitrary state $|s\rangle$ is $\Pi_\zeta(\vec{x})|s\rangle = \int D\zeta|\zeta\rangle [-i\delta/\delta\zeta(\vec{x})]\langle\zeta|s\rangle$. Fourier transforming into $\Pi_\zeta(\vec{q}) = \int d^3x \Pi_\zeta(\vec{x}) e^{i\vec{q}\cdot\vec{x}}$ thus gives $\Pi_\zeta(\vec{q}) = \int D\zeta|\zeta\rangle [-i\delta/\delta\zeta(-\vec{q})]\langle\zeta|s\rangle$. Similarly, $\langle s|\Pi_\zeta(\vec{x}) = \int D\zeta[i\delta/\delta\zeta(\vec{x})]\langle s|\zeta\rangle\langle\zeta|$ implies $\langle s|\Pi_\zeta(\vec{q}) = \int D\zeta[i\delta/\delta\zeta(-\vec{q})]\langle s|\zeta\rangle\langle\zeta|$.

¹³ See Appendix A for details on our conventions for the power spectra.

At this point we would like to repeat (in reverse) the same argument as in (3.11), so that we can pull the *free* $\zeta_0(\vec{q})$ and $\gamma_0(\vec{q})$ through $\Omega(-\infty)$ to obtain the *full* $\zeta(\vec{q})$ and $\gamma(\vec{q})$. Recall that this argument strictly works only for (Heisenberg) operators in the far past, *i.e.*, focusing on ζ for the moment:

$$\lim_{t_i \rightarrow -\infty} \Omega^\dagger(t_i) \zeta(\vec{q}, t_i) \Omega(t_i) = \lim_{t_i \rightarrow -\infty} \zeta_0(\vec{q}, t_i), \quad (3.16)$$

whereas what interests us are ζ and ζ_0 in the far future (which we denote by $\zeta(\vec{q})$ and $\zeta_0(\vec{q})$ without any time argument). What saves us is that, ultimately, we are interested in the small- q limit, because the diffeomorphism in momentum space $\bar{\xi}_j$ (in 3.15) contains derivatives of the delta function $\delta^3(\vec{q})$. For any given t_i , the following holds:

$$\zeta(\vec{q}, t_i) = \zeta(\vec{q}) [1 + \Delta] \quad , \quad \zeta_0(\vec{q}, t_i) = \zeta_0(\vec{q}) [1 + \Delta_0] \quad \text{where} \quad \lim_{q|\tau_i| \rightarrow 0} \Delta, \Delta_0 = 0, \quad (3.17)$$

i.e., ζ and ζ_0 approach a constant in the long-wavelength/super-horizon limit. Here, τ_i is the conformal time, to be distinguished from the proper time t_i ; the combination $q|\tau_i|$ serves to compare the wavelength with the horizon.¹⁴ The constancy of ζ in the low momentum limit has been shown quantum-mechanically in [30, 31]. Plugging (3.17) into (3.16), we conclude that

$$\lim_{t_i \rightarrow -\infty, q|\tau_i| \rightarrow 0} \Omega^\dagger(t_i) \zeta(\vec{q}) \Omega(t_i) = \zeta_0(\vec{q}). \quad (3.18)$$

An analogous expression holds for the tensor mode γ as well.¹⁵ Thus, combining (3.11) and (3.15), we find

$$\bar{Q}|\Omega\rangle = - \int \frac{d^3q}{(2\pi)^3} q_i \bar{\xi}_j(-\vec{q}) \left(\frac{1}{3} D_\zeta(q) \delta^{ij} \zeta(\vec{q}) + D_\gamma(q) \gamma^{ij}(\vec{q}) \right) |\Omega\rangle, \quad (3.19)$$

where we have used $\Omega(-\infty)\zeta_0(\vec{q}) = \zeta(\vec{q})\Omega(-\infty)$, whose precise meaning should be understood as (3.18) (likewise for γ). One issue deserves more discussion: is (3.18) really adequate, given that we ultimately will be taking derivatives of $\zeta(\vec{q})$ (hidden in $\xi_j(-\vec{q})$) *before* sending q to zero? Let us postpone the discussion to the end of this subsection.

Substituting (3.19) into (3.7), and recognizing that $\bar{\xi}_j^*(\vec{q}) = \bar{\xi}_j(-\vec{q})$, $\zeta^\dagger(\vec{q}) = \zeta(-\vec{q})$, $\gamma^\dagger(\vec{q}) = \gamma(-\vec{q})$, and D_ζ , D_γ depend on only the magnitude of \vec{q} , we arrive at

$$\langle \Omega | [\bar{Q}, \mathcal{O}] | \Omega \rangle = \int \frac{d^3q}{(2\pi)^3} q_i \bar{\xi}_j(-\vec{q}) \left(\frac{\delta^{ij}}{3P_\zeta(q)} \langle \Omega | \zeta(\vec{q}) \mathcal{O} | \Omega \rangle + \frac{1}{P_\gamma(q)} \langle \Omega | \gamma^{ij}(\vec{q}) \mathcal{O} | \Omega \rangle \right). \quad (3.20)$$

We have used the fact that \mathcal{O} consists of a bunch of ζ 's and γ 's at the same time as $\zeta(\vec{q})$ and $\gamma(\vec{q})$, and thus they commute. For each term in the Taylor expansion (2.31), this gives

$$\langle \Omega | [\bar{Q}^{(n)}, \mathcal{O}] | \Omega \rangle = \lim_{\vec{q} \rightarrow 0} \frac{(-i)^{n+1}}{n!} M_{i\ell_0 \dots \ell_n} \frac{\partial^n}{\partial q_{\ell_1} \dots \partial q_{\ell_n}} \left(\frac{1}{P_\gamma(q)} \langle \gamma^{i\ell_0}(\vec{q}) \mathcal{O} \rangle + \frac{\delta^{i\ell_0}}{3P_\zeta(q)} \langle \zeta(\vec{q}) \mathcal{O} \rangle \right). \quad (3.21)$$

¹⁴Note that $t \rightarrow -\infty$ corresponds to $\tau \rightarrow -\infty$, while $t \rightarrow \infty$ corresponds to $\tau \rightarrow 0$ in de Sitter space.

¹⁵Note the somewhat intricate limit implicit in (3.18): q should be sent to zero before t_i is sent to $-\infty$.

Hence each Ward identity will constrain the q^n behavior of correlation functions in the soft limit. This is the main result of this subsection. Let us close by returning to an issue raised earlier. From (3.21), it is evident that what we need is something stronger than (3.18) *i.e.*, we need instead:

$$\lim_{t_i \rightarrow -\infty, q|\tau_i| \rightarrow 0} \Omega^\dagger(t_i) \partial_q^n [f(q) \zeta(\vec{q})] \Omega(t_i) = \partial_q^n [f(q) \zeta_0(\vec{q})], \quad (3.22)$$

where $f(q)$ is some function of q , and ∂_q^n represents some general n derivatives with respect to q (and likewise for γ). To justify this, we start from what we know to be true:

$$\lim_{t_i \rightarrow -\infty} \Omega^\dagger(t_i) \partial_q^n [f(q) \zeta(\vec{q}, t_i)] \Omega(t_i) = \lim_{t_i \rightarrow -\infty} \partial_q^n [f(q) \zeta_0(\vec{q}, t_i)], \quad (3.23)$$

which follows from (3.16). Substituting (3.17) into $\partial_q^n [f(q) \zeta(\vec{q}, t_i)]$, we have

$$\begin{aligned} \partial_q^n [f(q) \zeta(\vec{q}, t_i)] &\sim \partial_q^n [f(q) \zeta(\vec{q})] + \partial_q^n [f(q) \zeta(\vec{q})] \Delta + \partial_q^{n-1} [f(q) \zeta(\vec{q})] \partial_q \Delta \\ &\quad + \partial_q^{n-2} [f(q) \zeta(\vec{q})] \partial_q^2 \Delta + \dots, \end{aligned} \quad (3.24)$$

where we have been cavalier about numerical coefficients. The important point is that $\partial_q^{n-m} [f(q) \zeta(\vec{q})] \sim q^{-m} \partial_q^n [f(q) \zeta(\vec{q})]$, and thus as long as

$$\lim_{q|\tau_i| \rightarrow 0} q^m \partial_q^m \Delta = 0 \quad \text{for } m \geq 0 \quad (3.25)$$

(and likewise for Δ_0), all the corrections from Δ, Δ_0 and their derivatives drop out in the long-wavelength limit, and (3.22) is established. Therefore, the precise long-wavelength-constancy requirement on ζ is (3.17) supplemented by (3.25). It is worth noting that (3.25) is obeyed by many different possible Δ 's. For instance, $1 + \Delta = (1 + iq\tau_i) e^{-iq\tau_i}$ (*i.e.*, Hankel function) gives $\Delta \sim (q\tau_i)^2/2 + \dots$ in the long-wavelength limit, while $1 + \Delta = e^{iq\tau_i}$ gives $\Delta \sim iq\tau_i + \dots$. Both satisfy (3.25). The former describes the behavior in standard inflationary models, while the latter arise in more unusual scenarios including ones with no expansion [41]. It is important to emphasize that whether Δ goes like q or q^2 in the soft limit has no bearing on which of the consistency relations (*i.e.*, which n in (3.21)) is satisfied. As long as (3.25) holds, the consistency relations are expected to hold for *all* n .

3.3 The right-hand side

At each order in n , the variation of \mathcal{O} can be split into a part that includes the non-linear shifts in the fields and a part that includes the linear transformations:

$$\bar{\delta}^{(n)} \mathcal{O} = \bar{\delta}_{\text{non-lin.}}^{(n)} \mathcal{O} + \bar{\delta}_{\text{lin.}}^{(n)} \mathcal{O}. \quad (3.26)$$

As shown in Appendix C, the non-linear part contributes to disconnected diagrams in the Ward identities and therefore drops out when considering connected correlation functions. For instance, from (2.33) the non-linear variation of ζ schematically gives contributions of the form

$$\langle \bar{\delta}_{\text{non-lin.}}^{(n)} \mathcal{O} \rangle \sim \lim_{\vec{q} \rightarrow 0} \frac{\partial^n}{\partial k_a^n} \delta^3(\vec{q} + \vec{k}_a) \langle \zeta(\vec{k}_1) \cdots \zeta(\vec{k}_{a-1}) \zeta(\vec{k}_{a+1}) \cdots \zeta(\vec{k}_M) \mathcal{O}^\gamma(\vec{k}_{M+1}, \dots, \vec{k}_N) \rangle$$

describing a 2-point function (or more precisely, $\langle \zeta(\vec{q})\zeta(\vec{k}_a) \rangle / P_\zeta(q)$) disconnected from an $N - 1$ point function, which is precisely canceled by a disconnected term from the left-hand side of the Ward identities. Focusing on *connected* correlators, substitution of the field transformations (2.33) therefore gives

$$\begin{aligned} \langle \bar{\delta}^{(n)} \mathcal{O} \rangle_c = & -\frac{(-i)^n}{n!} M_{i\ell_0 \dots \ell_n} \left\{ \sum_{a=1}^N \left(\delta^{i\ell_0} \frac{\partial^{n-1}}{\partial k_{\ell_1}^a \dots \partial k_{\ell_n}^a} + \frac{k_a^i}{n+1} \frac{\partial^{n+1}}{\partial k_{\ell_0}^a \dots \partial k_{\ell_n}^a} \right) \langle \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle_c \right. \\ & - \sum_{a=1}^M \Upsilon^{i\ell_0 i_a j_a}(\hat{k}_a) \frac{\partial^n}{\partial k_{\ell_1}^a \dots \partial k_{\ell_n}^a} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_M) \gamma_{i_a j_a}(\vec{k}_a) \mathcal{O}^\gamma(\vec{k}_{M+1}, \dots, \vec{k}_N) \rangle_c \\ & \left. - \sum_{b=M+1}^N \Gamma^{i\ell_0}_{i_b j_b}{}^{k_b \ell_b}(\hat{k}_b) \frac{\partial^n}{\partial k_{\ell_1}^b \dots \partial k_{\ell_n}^b} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_M) \mathcal{O}_{i_{M+1} j_{M+1}, \dots, k_b \ell_b, \dots, i_N j_N}^\gamma(\vec{k}_{M+1}, \dots, \vec{k}_N) \rangle_c \right\} + \dots \end{aligned} \quad (3.27)$$

Combining with (3.21), we obtain the connected version of the Ward identities:

$$\begin{aligned} \lim_{\vec{q} \rightarrow 0} M_{i\ell_0 \dots \ell_n} \frac{\partial^n}{\partial q_{\ell_1} \dots \partial q_{\ell_n}} \left(\frac{1}{P_\gamma(q)} \langle \gamma^{i\ell_0}(\vec{q}) \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle_c + \frac{\delta^{i\ell_0}}{3P_\zeta(q)} \langle \zeta(\vec{q}) \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle_c \right) \\ = -M_{i\ell_0 \dots \ell_n} \left\{ \sum_{a=1}^N \left(\delta^{i\ell_0} \frac{\partial^n}{\partial k_{\ell_1}^a \dots \partial k_{\ell_n}^a} + \frac{k_a^i}{n+1} \frac{\partial^{n+1}}{\partial k_{\ell_0}^a \dots \partial k_{\ell_n}^a} \right) \langle \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle_c \right. \\ - \sum_{a=1}^M \Upsilon^{i\ell_0 i_a j_a}(\hat{k}_a) \frac{\partial^n}{\partial k_{\ell_1}^a \dots \partial k_{\ell_n}^a} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_M) \gamma_{i_a j_a}(\vec{k}_a) \mathcal{O}^\gamma(\vec{k}_{M+1}, \dots, \vec{k}_N) \rangle_c \\ \left. - \sum_{b=M+1}^N \Gamma^{i\ell_0}_{i_b j_b}{}^{k_b \ell_b}(\hat{k}_b) \frac{\partial^n}{\partial k_{\ell_1}^b \dots \partial k_{\ell_n}^b} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_M) \mathcal{O}_{i_{M+1} j_{M+1}, \dots, k_b \ell_b, \dots, i_N j_N}^\gamma(\vec{k}_{M+1}, \dots, \vec{k}_N) \rangle_c \right\} + \dots \end{aligned} \quad (3.28)$$

The ellipses indicate terms on the right-hand side that are higher-order in the fields. One further simplification is possible. As shown in Appendix D, the momentum-conserving delta functions implicit on both sides of (3.28) can be canceled, leaving us with an identity for “primed” correlation functions, defined by removing the delta function [47]

$$\langle \mathcal{O}(\vec{q}, \vec{k}_1, \dots, \vec{k}_N) \rangle = (2\pi)^3 \delta^3(\vec{P}) \langle \mathcal{O}(\vec{q}, \vec{k}_1, \dots, \vec{k}_N) \rangle', \quad (3.29)$$

where $\vec{P} \equiv \vec{q} + \vec{k}_1 + \dots + \vec{k}_N$. The primed correlator is thus defined on shell. It is a function of only N momenta, which can be chosen to be $\vec{k}_1, \dots, \vec{k}_N$. As shown in Appendix D, the Ward identities in terms

of primed correlators are given by

$$\begin{aligned}
& \lim_{\vec{q} \rightarrow 0} M_{i\ell_0 \dots \ell_n} \frac{\partial^n}{\partial q_{\ell_1} \dots \partial q_{\ell_n}} \left(\frac{1}{P_\gamma(q)} \langle \gamma^{i\ell_0}(\vec{q}) \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c + \frac{\delta^{i\ell_0}}{3P_\zeta(q)} \langle \zeta(\vec{q}) \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right) \\
&= -M_{i\ell_0 \dots \ell_n} \left\{ \sum_{a=1}^N \left(\delta^{i\ell_0} \frac{\partial^n}{\partial k_{\ell_1}^a \dots \partial k_{\ell_n}^a} - \frac{\delta_{n0}}{N} \delta^{i\ell_0} + \frac{k_a^i}{n+1} \frac{\partial^{n+1}}{\partial k_{\ell_0}^a \dots \partial k_{\ell_n}^a} \right) \langle \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right. \\
&\quad - \sum_{a=1}^M \Upsilon^{i\ell_0 i_a j_a}(\hat{k}_a) \frac{\partial^n}{\partial k_{\ell_1}^a \dots \partial k_{\ell_n}^a} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_M) \gamma_{i_a j_a}(\vec{k}_a) \mathcal{O}^\gamma(\vec{k}_{M+1}, \dots, \vec{k}_N) \rangle'_c \\
&\quad \left. - \sum_{b=M+1}^N \Gamma_{i_b j_b}^{i\ell_0}{}^{k_b \ell_b}(\hat{k}_b) \frac{\partial^n}{\partial k_{\ell_1}^b \dots \partial k_{\ell_n}^b} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_M) \mathcal{O}_{i_{M+1} j_{M+1}, \dots, k_b \ell_b, \dots, i_N j_N}^\gamma(\vec{k}_{M+1}, \dots, \vec{k}_N) \rangle'_c \right\} \\
&\quad + \dots \tag{3.30}
\end{aligned}$$

Apart from the δ_{n0} term on the second line, this takes the same form as the unprimed identity (3.28). The general Ward identities (3.30) relate $N+1$ -point correlation functions with a $\vec{q}=0$ scalar or tensor insertion to the symmetry transformations of N -point correlation functions.

3.4 Component Ward identities

The Ward identities (3.30) involve the coefficient arrays $M_{i\ell_0 \dots \ell_n}(\hat{q})$. In order for these to arise as the $\vec{q} \rightarrow 0$ limit of correlation functions involving *physical* modes, the $M_{i\ell_0 \dots \ell_n}$ parameters of the transformations must acquire \vec{q} -dependence, as discussed in Sec. 2.4. Specifically, the regulated array $M_{i\ell_0 \dots \ell_n}(\hat{q})$ must satisfy the transversality condition (2.35). For each choice of M 's satisfying these conditions as well as the symmetry property (2.32), we can plug into (3.30) and obtain a Ward identity.

Alternatively, we can remove the $M_{i\ell_0 \dots \ell_n}(\hat{q})$ coefficients from (3.30) by projecting the indices contracted with the M 's onto an appropriate subspace. We must not only ensure that the resulting identities are symmetric in (ℓ_0, \dots, ℓ_n) and consistent with the trace condition (2.32), but that they are also transverse in the sense of (2.35). This can be achieved by introducing operators $P_{i\ell_0 \dots \ell_n j m_0 \dots m_n}(\hat{q})$ with the following properties:

1. $P_{i\ell_0 \dots \ell_n j m_0 \dots m_n}$ is symmetric in the (ℓ_0, \dots, ℓ_n) indices and in the $(m_0 \dots m_n)$ indices.
2. $P_{i\ell_0 \dots \ell_n j m_0 \dots m_n}$ is symmetric under the interchange of sets of indices: $P_{i\ell_0 \dots \ell_n j m_0 \dots m_n} = P_{j m_0 \dots m_n i \ell_0 \dots \ell_n}$.
3. For $n \geq 1$, $P_{i\ell_0 \dots \ell_n j m_0 \dots m_n}$ obeys the trace condition (2.32):

$$P_{i\ell\ell\ell_2 \dots \ell_n j m_0 \dots m_n} = -\frac{1}{3} P_{\ell i\ell\ell_2 \dots \ell_n j m_0 \dots m_n} \quad (n \geq 1). \tag{3.31}$$

4. $P_{i\ell_0 \dots \ell_n j m_0 \dots m_n}$ satisfies the transverse condition (2.35):

$$\hat{q}^i \left(P_{i\ell_0 \ell_1 \dots \ell_n j m_0 \dots m_n}(\hat{q}) + P_{\ell_0 i \ell_1 \dots \ell_n j m_0 \dots m_n}(\hat{q}) - \frac{2}{3} \delta_{i\ell_0} P_{\ell\ell\ell_1 \dots \ell_n j m_0 \dots m_n}(\hat{q}) \right) = 0. \tag{3.32}$$

In other words, P has the same properties as M under either sets of indices and is symmetric under the interchange of sets of indices. In Appendix E, we will explain how to systematically construct these

physical operators and give explicit expressions for the first few values of n . (As noted in the Appendix, the various projectors obtained using this method will in general form an over-complete set. This means the identities below will not all be independent, though none will be missing.)

In terms of these operators, the Ward identities (3.30) become

$$\begin{aligned}
& \lim_{\vec{q} \rightarrow 0} P_{i\ell_0 \dots \ell_n j m_0 \dots m_n}(\hat{q}) \frac{\partial^n}{\partial q_{m_1} \dots \partial q_{m_n}} \left(\frac{1}{P_\gamma(q)} \langle \gamma^{j m_0}(\vec{q}) \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c + \frac{\delta^{j m_0}}{3P_\zeta(q)} \langle \zeta(\vec{q}) \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right) \\
&= -P_{i\ell_0 \dots \ell_n j m_0 \dots m_n}(\hat{q}) \left\{ \sum_{a=1}^N \left(\delta^{j m_0} \frac{\partial^n}{\partial k_{m_1}^a \dots \partial k_{m_n}^a} - \frac{\delta_{n0}}{N} \delta^{j m_0} + \frac{k_a^j}{n+1} \frac{\partial^{n+1}}{\partial k_{m_0}^a \dots \partial k_{m_n}^a} \right) \langle \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right. \\
&\quad - \sum_{a=1}^M \Upsilon^{j m_0 i_a j_a}(\hat{k}_a) \frac{\partial^n}{\partial k_{m_1}^a \dots \partial k_{m_n}^a} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_M) \gamma_{i_a j_a}(\vec{k}_a) \mathcal{O}^\gamma(\vec{k}_{M+1}, \dots, \vec{k}_N) \rangle'_c \\
&\quad \left. - \sum_{b=M+1}^N \Gamma^{j m_0}_{i_b j_b} \frac{k_b \ell_b}{i_b j_b}(\hat{k}_b) \frac{\partial^n}{\partial k_{m_1}^b \dots \partial k_{m_n}^b} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_M) \mathcal{O}^\gamma_{i_{M+1} j_{M+1}, \dots, k_b \ell_b, \dots, i_N j_N}(\vec{k}_{M+1}, \dots, \vec{k}_N) \rangle'_c \right\} + \dots
\end{aligned} \tag{3.33}$$

These component Ward identities are the main result of this paper. A few remarks are in order:

- At each n , the identities (3.33) constrains the q^n behavior of the soft limits. At lowest order, $n = 0, 1$, the q^0 and q behavior is completely fixed [3, 43]. At higher order, $n \geq 2$, the Ward identities only constrain part of the $N + 1$ correlator in the soft limit.
- The primed correlation functions are defined in (3.29) as on-shell correlators. Correlators on the left-hand side, such as $\langle \zeta(\vec{q}) \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'$, are functions of N momenta. Without loss of generality, these can be chosen to be $\vec{q}, \vec{k}_1, \dots, \vec{k}_{N-1}$, in which case one should make the replacement $\vec{k}_N = -\vec{q} - \vec{k}_1 - \dots - \vec{k}_{N-1}$ before differentiating with respect to q . Similarly, the primed correlator $\langle \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'$ on the right-hand side is a function of $N - 1$ momenta. Choosing these to be $\vec{k}_1, \dots, \vec{k}_{N-1}$, one should make the replacement $\vec{k}_N = -\vec{k}_1 - \dots - \vec{k}_{N-1}$ before differentiating with respect to k_a , where $a = 1, \dots, N - 1$.
- The ellipses denote corrections that are higher-order in the fields, as discussed below (2.33). (As argued in Sec. 2.2, however, the dilation symmetry is exceptional, and the field transformations (2.16) are exact in this case.)
- The Ward identities (3.33) hold independently of whether the hard modes with momenta $\vec{k}_1, \dots, \vec{k}_N$ are inside or outside the horizon. In particular, following [37] it would be interesting to generalize the analysis to include correlation functions with time or spatial derivatives acting on the short modes, as this can be useful for loop calculations [59–63].
- Note that even if the operator \mathcal{O} contains no γ , the right hand side does involve γ through the third line of (3.33), which replaces successively each ζ by γ . However, the fourth line would vanish in such a case.
- The Ward identities also have implications for correlation functions with a soft internal line, where the sum $\vec{q} \equiv -(\vec{k}_1 + \dots + \vec{k}_M)$ of M of external momenta approaches zero. In this limit, the correlation function is dominated by the exchange of a soft scalar or tensor mode, and the answer factorizes

into the product of $N - M + 1$ - and $M + 1$ -point correlators in the soft limit [64, 65]. For instance, for a product of scalar modes [43],

$$\begin{aligned} \lim_{\vec{q} \rightarrow 0} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c &= \lim_{\vec{q} \rightarrow 0} \left(\langle \zeta(\vec{q}) \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_M) \rangle'_c \frac{1}{P_\zeta(q)} \langle \zeta(-\vec{q}) \mathcal{O}^\zeta(\vec{k}_{M+1}, \dots, \vec{k}_N) \rangle'_c \right. \\ &\quad \left. + \sum_s \langle \gamma^s(\vec{q}) \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_M) \rangle'_c \frac{1}{P_\gamma(q)} \langle \gamma^s(-\vec{q}) \mathcal{O}^\zeta(\vec{k}_{M+1}, \dots, \vec{k}_N) \rangle'_c \right) \end{aligned} \quad (3.34)$$

The identities (3.33) can be applied to the soft limits of the individual correlators in the products, and thus constrain the form of correlation functions with soft internal lines.

In the following Sections, we will study special cases of these identities. Specifically, we will show in Sec. 4 that the $n = 0$ identities reproduce Maldacena's original consistency relations for scalars and tensors [3]. We will also show that the $n = 1$ identities reproduce the conformal consistency relation and the linear-gradient tensor consistency relation derived recently in [43]. In Sec. 5, we will show in detail that the $n = 2$ identities lead to novel consistency relations, and check their validity with the 3-point graviton correlation function in slow-roll inflation.

4 Recovering Known Consistency Relations

In this Section we will recover, as particular cases of our general Ward identities (3.33), the known consistency relations for single-field inflation. Specifically, for $n = 0$ we will recover Maldacena's original dilation consistency relation and anisotropic scaling relation [3]. For $n = 1$, we will reproduce the linear-gradient consistency relations derived recently in [43].

4.1 Dilation consistency relation

For a dilation, the transverse condition (2.35) is trivially satisfied since tensors transform linearly. We can simply go back to (3.30) and substitute $M_{i\ell_0} = \lambda \delta_{i\ell_0}$ to obtain¹⁶

$$\lim_{\vec{q} \rightarrow 0} \frac{1}{P_\zeta(q)} \langle \zeta(\vec{q}) \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c = - \left(3(N-1) + \sum_{a=1}^N \vec{k}_a \cdot \frac{\partial}{\partial \vec{k}_a} \right) \langle \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c, \quad (4.1)$$

which is the well-known consistency relation of inflation [3–5]. Note that this identity receives no higher-order corrections in the fields, since the dilation field transformations (2.16) are exact.

4.2 Anisotropic scaling consistency relation

For the anisotropic scaling, we substitute in (3.33) $M_{i\ell_0} = S_{i\ell_0}$ with $S_{ii} = 0$ and $\hat{q}^i S_{i\ell_0}(\hat{q}) = 0$. For concreteness, let us specialize to the case where the hard modes are scalars: $\mathcal{O} = \mathcal{O}^\zeta = \prod_{a=1}^N \zeta(\vec{k}_a)$. In

¹⁶Alternatively, one can work directly with (3.33) by substituting $P_{i\ell_0 j m_0}^{\text{dil.}} = \frac{1}{3} \delta_{i\ell_0} \delta_{j m_0}$, which has all the desired properties. Tracing the resulting identity over (i, ℓ_0) yields (4.1).

this case, the Ward identity reduces to

$$\begin{aligned} \lim_{\vec{q} \rightarrow 0} P_{i\ell_0 j m_0}^T(\hat{q}) \frac{1}{P_\gamma(q)} \langle \gamma^{j m_0}(\vec{q}) \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c = & - P_{i\ell_0 j m_0}^T(\hat{q}) \sum_{a=1}^N \left\{ k_a^j \frac{\partial}{\partial k_{m_0}^a} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right. \\ & - \frac{1}{2} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_N) \gamma_{j m_0}(\vec{k}_a) \rangle'_c \left. \right\} \\ & + \dots \end{aligned} \quad (4.2)$$

where we have used $\Upsilon^{j m_0 i_a j_a}(\hat{k}_a) \gamma_{i_a j_a}(\vec{k}_a) = \frac{1}{2} \gamma_{j m_0}(\vec{k}_a)$. The required operator $P_{i\ell_0 j m_0}^T$ has the same permutation properties as M for each pair of indices, that is, it is symmetric and traceless in (i, ℓ_0) and (j, m_0) . Moreover, it is symmetric under the exchange of pairs of indices $(i, \ell_0) \leftrightarrow (j, m_0)$, as well as transverse $\hat{q}^i P_{i\ell_0 j m_0}^T = 0$. The operator with these properties and suitably normalized can be readily inferred:

$$P_{i\ell_0 j m_0}^T = P_{ij} P_{\ell_0 m_0} + P_{im_0} P_{j\ell_0} - P_{i\ell_0} P_{j m_0}, \quad (4.3)$$

where $P_{i\ell_0} = \delta_{i\ell_0} - \hat{q}_i \hat{q}_{\ell_0}$ is the transverse projector. (The operator $P_{i\ell_0 j m_0}^T$ appears in the completeness relation for the polarization tensors — see (A.7).) Since $\gamma_{j m_0}$ is itself transverse and traceless, we have $P_{i\ell_0 j m_0}^T(\hat{q}) \gamma^{j m_0}(\vec{q}) = 2 \gamma_{i\ell_0}(\vec{q})$, and (4.2) simplifies to

$$\begin{aligned} \lim_{\vec{q} \rightarrow 0} \frac{1}{P_\gamma(q)} \langle \gamma_{i\ell_0}(\vec{q}) \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c = & - \frac{1}{2} P_{i\ell_0 j m_0}^T(\hat{q}) \sum_{a=1}^N \left\{ k_a^j \frac{\partial}{\partial k_{m_0}^a} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right. \\ & - \frac{1}{2} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_N) \gamma_{j m_0}(\vec{k}_a) \rangle'_c \left. \right\} + \dots \end{aligned} \quad (4.4)$$

Since this relation is usually expressed in the helicity basis, reviewed in Appendix A, we can project both sides with the polarization tensor $\epsilon_{i\ell_0}^s(q)$. Using the orthonormality condition (A.6), we obtain

$$\begin{aligned} \lim_{\vec{q} \rightarrow 0} \frac{1}{P_\gamma(q)} \langle \gamma^s(\vec{q}) \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c = & - \frac{1}{2} \epsilon_{i\ell_0}^s(\hat{q}) \sum_{a=1}^N \left\{ k_a^i \frac{\partial}{\partial k_a^{\ell_0}} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right. \\ & - \frac{1}{2} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_N) \gamma_{i\ell_0}(\vec{k}_a) \rangle'_c \left. \right\} + \dots \end{aligned} \quad (4.5)$$

For $N = 2$, the last line vanishes (since $\langle \zeta \gamma \rangle = 0$), and the result agrees with [3]. For general N , the above agrees with [43] to lowest order in the tensors, that is, as long as the last line is neglected.

4.3 Linear-gradient consistency relations

It has been argued recently that the order q behavior of soft correlators is also fixed by consistency relations [43]. We will show that these relations follow from the $n = 1$ Ward identities. To make contact with [43], we again specialize to a product of scalars for the hard modes: $\mathcal{O} = \mathcal{O}^\zeta$.

Recall that at $n = 1$ we have a total of 7 symmetries, consisting of 3 SCTs and 4 tensor symmetries. Starting with the SCTs, we substitute $M_{i\ell_0\ell_1}^{\text{SCT}} = b_{\ell_1}\delta_{i\ell_0} + b_{\ell_0}\delta_{i\ell_1} - b_i\delta_{\ell_0\ell_1}$ in (3.30) to obtain

$$\lim_{\vec{q} \rightarrow 0} \frac{\partial}{\partial q^i} \left(\frac{1}{P_\zeta(q)} \langle \zeta(\vec{q}) \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right) = -\frac{1}{2} \sum_{a=1}^N \left(6 \frac{\partial}{\partial k_a^i} - k_a^i \frac{\partial^2}{\partial k_a^j \partial k_a^j} + 2k_a^j \frac{\partial^2}{\partial k_a^j \partial k_a^i} \right) \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c + \dots \quad (4.6)$$

(Note that the Υ terms in (3.30) vanish identically in this case.) This agrees with the conformal consistency relation — see Eq. (54) of [43]. Although it was originally believed that the SCTs were restricted to the scalar sector only [43, 44], we have now generalized these transformations to include tensors. In particular, the tensor corrections encoded in the ellipses in (4.6) can be computed explicitly using the expressions given in Sec. 2.2.

For the tensor symmetries, described by fully traceless $M_{i\ell_0\ell_1}$, the Ward identity (3.33) gives

$$\begin{aligned} \lim_{\vec{q} \rightarrow 0} P_{i\ell_0\ell_1jm_0m_1}^{\text{T}}(\hat{q}) \frac{\partial}{\partial q^{m_1}} \left(\frac{1}{P_\gamma(q)} \langle \gamma^{jm_0}(\vec{q}) \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right) \\ = -P_{i\ell_0\ell_1jm_0m_1}^{\text{T}}(\hat{q}) \sum_{a=1}^N \left\{ \frac{1}{2} k_a^j \frac{\partial^2}{\partial k_{m_0}^a \partial k_{m_1}^a} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right. \\ \left. - \Upsilon^{jm_0iaja}(\hat{k}_a) \frac{\partial}{\partial k_{m_1}^a} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_N) \gamma_{i\ell_0}(\vec{k}_a) \rangle'_c \right\} + \dots, \quad (4.7) \end{aligned}$$

where $P_{i\ell_0\ell_1jm_0m_1}^{\text{T}}$ is symmetric in (ℓ_0, ℓ_1) and (m_0, m_1) , fully traceless in (i, ℓ_0, ℓ_1) and (j, m_0, m_1) , symmetric under the interchange $(i, \ell_0, \ell_1) \leftrightarrow (j, m_0, m_1)$, and transverse:

$$\hat{q}^i \left(P_{i\ell_0\ell_1jm_0m_1}^{\text{T}}(\hat{q}) + P_{\ell_0i\ell_1jm_0m_1}^{\text{T}}(\hat{q}) \right) = 0. \quad (4.8)$$

As described in Appendix E, we may construct three linearly independent operators satisfying these conditions:

$$\begin{aligned} P_{i\ell_0\ell_1jm_0m_1}^{\text{T}(1)}(\hat{q}) &= P_{ikm_0m_1}^{\text{T}} P_{jk\ell_0\ell_1}^{\text{T}} \\ P_{i\ell_0\ell_1jm_0m_1}^{\text{T}(2)}(\hat{q}) &= P_{ikjm_1}^{\text{T}} P_{\ell_0\ell_1m_0k}^{\text{T}} + P_{ikm_0j}^{\text{T}} P_{\ell_0\ell_1m_1k}^{\text{T}} - \frac{2}{3} (P_{\ell_0km_0m_1}^{\text{T}} P_{i\ell_1jk}^{\text{T}} + P_{\ell_1km_0m_1}^{\text{T}} P_{\ell_0ijk}^{\text{T}}) \\ P_{i\ell_0\ell_1jm_0m_1}^{\text{T}(3)}(\hat{q}) &= -\hat{q}^j \left(\hat{q}^{\ell_1} P_{i\ell_0m_0m_1}^{\text{T}} + \hat{q}^{\ell_0} P_{i\ell_1m_0m_1}^{\text{T}} - \hat{q}^i P_{\ell_0\ell_1m_0m_1}^{\text{T}} \right) + \hat{q}^{m_0} \left(\hat{q}^{\ell_1} P_{i\ell_0jm_1}^{\text{T}} + \hat{q}^{\ell_0} P_{i\ell_1jm_1}^{\text{T}} - \hat{q}^i P_{\ell_0\ell_1jm_1}^{\text{T}} \right) \\ &\quad + \hat{q}^{m_1} \left(\hat{q}^{\ell_1} P_{i\ell_0jm_0}^{\text{T}} + \hat{q}^{\ell_0} P_{i\ell_1jm_0}^{\text{T}} - \hat{q}^i P_{\ell_0\ell_1jm_0}^{\text{T}} \right), \quad (4.9) \end{aligned}$$

where $P_{i\ell_0jm_0}^{\text{T}}$ is defined in (4.3). To cast (4.7) in the helicity basis, we focus on $P_{i\ell_0\ell_1jm_0m_1}^{\text{T}(3)}$ and contract both sides with $q_{\ell_1} \epsilon_{i\ell_0}^s(\vec{q})$. Using the orthonormality (A.6) and completeness (A.7) relations of the

polarization tensors, the result is

$$\begin{aligned} \lim_{\vec{q} \rightarrow 0} q^{\ell_1} \frac{\partial}{\partial q^{\ell_1}} \left(\frac{1}{P_\gamma(q)} \langle \gamma^s(\vec{q}) \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right) = & - \frac{1}{2} q^{\ell_1} \epsilon_{i\ell_0}^s(\vec{q}) \sum_{a=1}^N \left\{ \left(k_a^i \frac{\partial}{\partial k_a^{\ell_1}} - \frac{k_a^{\ell_1}}{2} \frac{\partial}{\partial k_a^i} \right) \frac{\partial}{\partial k_{\ell_0}^a} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right. \\ & - \left(2\Upsilon^{i\ell_0 i_a j_a}(\hat{k}_a) \frac{\partial}{\partial k_{\ell_1}^a} - \Upsilon^{\ell_1 i i_a j_a}(\hat{k}_a) \frac{\partial}{\partial k_{\ell_0}^a} \right) \\ & \left. \times \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_N) \gamma_{i\ell_0}(\vec{k}_a) \rangle'_c \right\} + \dots \quad (4.10) \end{aligned}$$

This is consistent with [43] to leading order in the tensors.

5 Example of Novel Consistency Relation

The first novel consistency relation arises for $n = 2$, which constrains the q^2 behavior of correlation functions in the soft limit. Unlike the $n = 0$ and $n = 1$ consistency relations of Sec. 4, which fully constrain the q^0 and q^1 behavior of the correlators, the $n = 2$ Ward identities only *partially* constrain the q^2 behavior of the correlators in the soft limit.

To illustrate this, let us focus on the $n = 2$ “tensor” symmetries, for which $M_{i\ell_0\ell_1\ell_2}$ is fully traceless. The corresponding $P_{i\ell_0\ell_1\ell_2jm_0m_1m_2}^T$ is symmetric in the (ℓ_0, ℓ_1, ℓ_2) indices and in the (m_0, m_1, m_2) indices, traceless in the $(i, \ell_0, \ell_1, \ell_2)$ indices and in the (j, m_0, m_1, m_2) indices, and symmetric under the exchange $(i, \ell_0, \ell_1, \ell_2) \leftrightarrow (j, m_0, m_1, m_2)$, and transverse in the sense of (3.32). See Appendix E for a description of how to arrive at operators with these properties. Furthermore, to allow comparison with existing computations, we specialize to the case of $N = 2$ hard scalar modes, $\mathcal{O}(\vec{k}_1, \vec{k}_2) = \zeta_{\vec{k}_1} \zeta_{\vec{k}_2}$. In this case, the Υ terms are absent since $\langle \zeta \gamma \rangle = 0$.

With these assumptions, the Ward identity becomes

$$\lim_{\vec{q} \rightarrow 0} P_{i\ell_0\ell_1\ell_2jm_0m_1m_2}^T(\hat{q}) \frac{\partial^2}{\partial q_{m_1} \partial q_{m_2}} \left(\frac{1}{P_\gamma(q)} \langle \gamma^{jm_0}(\vec{q}) \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle' \right) = -P_{i\ell_0\ell_1\ell_2jm_0m_1m_2}^T(\hat{q}) \sum_{a=1}^2 \frac{k_a^j}{3} \frac{\partial^3 \langle \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle'}{\partial k_{m_0}^a \partial k_{m_1}^a \partial k_{m_2}^a}. \quad (5.1)$$

Note that we have neglected the “...” corrections which are higher-order in the fields. In slow-roll inflation, these corrections are higher-order in the slow-roll parameters and hence can be consistently neglected to leading-order in slow-roll. We will check this identity using the three-point function computed by Maldacena [3]¹⁷

$$\frac{1}{P_\gamma(q)} \langle \gamma_{i\ell_0}(\vec{q}) \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle' = P_{i\ell_0jm_0}^T(\hat{q}) \frac{H^2}{4\epsilon k_1^3 k_2^3} k_1^j k_2^{m_0} \left(-K + \frac{(k_1 + k_2)q + k_1 k_2}{K} + \frac{q k_1 k_2}{K^2} \right), \quad (5.2)$$

where $K \equiv q + k_1 + k_2$, and $P_{i\ell_0jm_0}^T(\hat{q})$ is defined in (4.3). Meanwhile, the scalar two-point function is

$$\langle \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle' = \frac{H^2}{4\epsilon k_1^3}. \quad (5.3)$$

¹⁷To translate from Maldacena’s expression in the helicity basis, we multiplied both sides by $\epsilon_{jm_0}^s(\vec{q})$, summed over helicities and used the completeness relation (A.7).

Both (5.2) and (5.3) are valid to leading order in slow-roll parameters. Before verifying (5.1), we quickly check that the lowest-order consistency relations are satisfied.

- Anisotropic scaling consistency relation ($n = 0$): In the limit $\vec{q} \rightarrow 0$, we have $\vec{k}_2 \rightarrow -\vec{k}_1$, and the left-hand side of (4.4) gives

$$\lim_{\vec{q} \rightarrow 0} \frac{1}{P_\gamma(q)} \langle \gamma_{i\ell_0}(\vec{q}) \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle' = P_{i\ell_0 j m_0}^T(\hat{q}) \frac{H^2}{4\epsilon k_1^3} \frac{3}{2} \hat{k}_1^j \hat{k}_1^{m_0}. \quad (5.4)$$

Differentiating (5.3), the right-hand side of (4.4) becomes

$$-\frac{1}{2} P_{i\ell_0 j m_0}^T(\hat{q}) \sum_{a=1}^2 k_a^j \frac{\partial}{\partial k_{m_0}^a} \langle \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle' = P_{i\ell_0 j m_0}^T(\hat{q}) \frac{H^2}{4\epsilon k_1^3} \frac{3}{2} \hat{k}_1^j \hat{k}_1^{m_0}, \quad (5.5)$$

which agrees with (5.4). Thus the $n = 0$ Ward identity (4.4) is satisfied.

- Linear-gradient consistency relation ($n = 1$): To compute the q -derivative of the three-point function, we must let $\vec{k}_2 = -\vec{k}_1 - \vec{q}$ and work consistently to linear order in q :

$$\begin{aligned} \frac{\partial}{\partial q^{m_1}} \left(\frac{1}{P_\gamma(q)} \langle \gamma_{j m_0}(\vec{q}) \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle' \right) &= \frac{H^2}{4\epsilon k_1^3} \left(\frac{\partial}{\partial q^{m_1}} P_{j m_0 k \ell}^T(\hat{q}) \right) 3 \hat{k}_1^k \hat{k}_1^\ell \\ &+ \frac{H^2}{4\epsilon k_1^4} P_{j m_0 k \ell}^T(\hat{q}) 3 \hat{k}_1^\ell \left(\delta_{m_1}^k - \frac{5}{2} \hat{k}_1^k \hat{k}_1^{m_1} \right) \\ &+ (\text{higher-order in } q). \end{aligned} \quad (5.6)$$

Next we contract this with $P_{i\ell_0 \ell_1 j m_0 m_1}^T$. In doing so, we will use the following identities, which straightforwardly follow from the properties of $P_{i\ell_0 \ell_1 j m_0 m_1}^T$ and the explicit form (4.3) for $P_{j m_0 k \ell}^T$:

$$P_{i\ell_0 \ell_1}^T \frac{\partial}{\partial q^{m_1}} P_{j m_0 k \ell}^T = 0; \quad P_{i\ell_0 \ell_1}^T \frac{\partial}{\partial q^{m_1}} P_{j m_0 k \ell}^T = P_{i\ell_0 \ell_1 k \ell m_1}^T + P_{i\ell_0 \ell_1 \ell k m_1}^T. \quad (5.7)$$

Applying this operator, the left-hand side of (4.7) becomes

$$\lim_{\vec{q} \rightarrow 0} P_{i\ell_0 \ell_1 j m_0 m_1}^T(\hat{q}) \frac{\partial}{\partial q^{m_1}} \left(\frac{1}{P_\gamma(q)} \langle \gamma_{j m_0}(\vec{q}) \zeta_{\vec{k}_1} \cdots \zeta_{\vec{k}_N} \rangle'_c \right) = -\frac{15}{2} \cdot \frac{H^2}{4\epsilon k_1^4} \cdot \hat{k}_1^j \hat{k}_1^{m_0} \hat{k}_1^{m_1} P_{i\ell_0 \ell_1 j m_0 m_1}^T(\hat{q}). \quad (5.8)$$

Meanwhile, on the right-hand side of the identity (4.7), we have

$$-\frac{1}{2} P_{i\ell_0 \ell_1 j m_0 m_1}^T(\hat{q}) \sum_{a=1}^2 k_a^j \frac{\partial^2}{\partial k_{m_0}^a \partial k_{m_1}^a} \langle \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle' = -\frac{15}{2} \cdot \frac{H^2}{4\epsilon k_1^4} \cdot \hat{k}_1^j \hat{k}_1^{m_0} \hat{k}_1^{m_1} P_{i\ell_0 \ell_1 j m_0 m_1}^T(\hat{q}), \quad (5.9)$$

where we have used the fact that $P_{i\ell_0 \ell_1 j m_0 m_1}^T$ is traceless. This agrees with (5.8), which verifies the $n = 1$ tensor Ward identity (4.7).

- Novel tensor consistency relation ($n = 2$): We now verify that Maldacena's 3-point function (5.2)

satisfies the $n = 2$ Ward identity (5.1). On the left-hand side, we find

$$\begin{aligned}
\frac{\partial^2}{\partial q^{m_1} \partial q^{m_2}} \left(\frac{1}{P_\gamma(q)} \langle \gamma^{jm_0}(\vec{q}) \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle'_c \right) &= \frac{H^2}{4\epsilon k_1^3} \left\{ \frac{3}{2} \hat{k}_1^k \hat{k}_1^\ell \frac{\partial^2 P_{jm_0 k \ell}^T(\hat{q})}{\partial q^{m_1} \partial q^{m_2}} \right. \\
&+ \frac{3 \hat{k}_1^\ell}{2 k_1} \left(\frac{\partial P_{jm_0 \ell m_2}^T(\hat{q})}{\partial q^{m_1}} + \frac{\partial P_{jm_0 \ell m_1}^T(\hat{q})}{\partial q^{m_2}} \right) \\
&+ \frac{15}{4} \frac{\hat{k}_1^k \hat{k}_1^\ell}{k_1} \left(\hat{k}_1^{m_2} \frac{\partial P_{jm_0 k \ell}^T(\hat{q})}{\partial q^{m_1}} + \hat{k}_1^{m_1} \frac{\partial P_{jm_0 k \ell}^T(\hat{q})}{\partial q^{m_2}} \right) \\
&- \frac{15}{4} \frac{\hat{k}_1^\ell}{k_1^2} \left(\hat{k}_1^{m_2} P_{jm_0 \ell m_1}^T(\hat{q}) + \hat{k}_1^{m_1} P_{jm_0 \ell m_2}^T(\hat{q}) \right) \\
&- \left. 5 \frac{\hat{k}_1^k \hat{k}_1^\ell}{k_1^2} P_{jm_0 k \ell}^T(\hat{q}) \delta_{m_1 m_2} + \frac{35}{2 k_1^2} \hat{k}_1^k \hat{k}_1^\ell \hat{k}_1^{m_1} \hat{k}_1^{m_2} P_{jm_0 k \ell}^T(\hat{q}) \right\} \\
&+ (\text{higher-order in } q). \tag{5.10}
\end{aligned}$$

When contracting this with $P_{i\ell_0\ell_1\ell_2jm_0m_1m_2}^T$, we will use the identities

$$\begin{aligned}
P_{i\ell_0\ell_1\ell_2}^T \frac{j m_0}{m_1 m_2} \frac{\partial^2 P_{jm_0 k \ell}^T}{\partial q^{m_1} \partial q^{m_2}} &= 0; \quad P_{i\ell_0\ell_1\ell_2}^T \frac{j m_0}{m_1 m_2} \frac{\partial P_{jm_0 k \ell}^T}{\partial q^{m_1}} = 0; \\
P_{i\ell_0\ell_1\ell_2}^T \frac{j m_0}{m_1 m_2} P_{jm_0 k \ell}^T &= P_{i\ell_0\ell_1\ell_2 k \ell m_1 m_2}^T + P_{i\ell_0\ell_1\ell_2 \ell k m_1 m_2}^T, \tag{5.11}
\end{aligned}$$

which follow from the properties of $P_{i\ell_0\ell_1\ell_2jm_0m_1m_2}^T$ and the explicit expression (4.3) for $P_{jm_0 k \ell}^T$. It turns out that only the last term in (5.10) gives a non-vanishing contribution:

$$\lim_{\vec{q} \rightarrow 0} P_{i\ell_0\ell_1\ell_2jm_0m_1m_2}^T(\hat{q}) \frac{\partial^2}{\partial q^{m_1} \partial q^{m_2}} \left(\frac{1}{P_\gamma(q)} \langle \gamma^{jm_0}(\vec{q}) \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle'_c \right) = \frac{H^2}{4\epsilon k_1^3} \frac{35}{k_1^2} \hat{k}_1^j \hat{k}_1^{m_0} \hat{k}_1^{m_1} \hat{k}_1^{m_2} P_{i\ell_0\ell_1\ell_2jm_0m_1m_2}^T(\hat{q}). \tag{5.12}$$

Meanwhile, the right-hand side of the Ward identity (5.1) becomes

$$- \sum_{a=1}^2 \frac{k_a^j}{3} \frac{\partial^3 \langle \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle'_c}{\partial k_{m_0}^a \partial k_{m_1}^a \partial k_{m_2}^a} = \frac{H^2}{4\epsilon k_1^3} \frac{\hat{k}_1^j}{k_1^2} \left[35 \hat{k}_1^{m_0} \hat{k}_1^{m_1} \hat{k}_1^{m_2} - 5 \left(\hat{k}_1^{m_0} \delta_{m_1 m_2} + \hat{k}_1^{m_1} \delta_{m_0 m_2} + \hat{k}_1^{m_2} \delta_{m_0 m_1} \right) \right]. \tag{5.13}$$

Contracting with $P_{i\ell_0\ell_1\ell_2km_0m_1m_2}^T$, and using the fact that this operator is traceless, we obtain

$$- P_{i\ell_0\ell_1\ell_2jm_0m_1m_2}^T(\hat{q}) \sum_{a=1}^2 \frac{k_a^j}{3} \frac{\partial^3 \langle \zeta_{\vec{k}_1} \zeta_{\vec{k}_2} \rangle'_c}{\partial k_a^{m_0} \partial k_a^{m_1} \partial k_a^{m_2}} = \frac{H^2}{4\epsilon k_1^3} \frac{35}{k_1^2} \hat{k}_1^j \hat{k}_1^{m_0} \hat{k}_1^{m_1} \hat{k}_1^{m_2} P_{i\ell_0\ell_1\ell_2jm_0m_1m_2}^T(\hat{q}). \tag{5.14}$$

This matches (5.12), which verifies the $n = 2$ tensor Ward identity.

Although we have focused for concreteness on the tensor symmetries in this check, there are of course 2 additional “mixed” symmetries at $n = 2$, under which both scalar and tensor modes shift non-linearly. It will be very interesting to study this identity in detail, particularly in models with reduced sound speed.

6 Conclusion

In this paper we derived an infinite number of consistency relations constraining at each $n \geq 0$ the q^n behavior of cosmological correlation functions in the soft limit $\vec{q} \rightarrow 0$. We showed how they arise as the Ward identities for an infinite set of global symmetries, which are non-linearly realized on the perturbations. As the lowest-order identities ($n = 0, 1$), we recovered Maldacena’s original consistency relations for scalars and tensors [3], as well as the recently-discovered linear-gradient consistency relations [43]. The higher-order ($n \geq 2$) identities are new, and we checked as a particular example that the $n = 2$ “tensor” identity is satisfied by known correlation functions in slow-roll inflation. Our general Ward identities hold whether the hard modes are inside or outside the horizon, and also have implications for correlation functions with soft internal lines.

There are many directions that would be interesting to pursue:

- To offer further insights on the higher-order consistency relations, it would be interesting to re-derive these new identities using standard background-wave arguments. This may be technically challenging already for the $n = 2$ identities, but would give an independent check of the Ward identities.
- It would be enlightening to apply the methods developed here to study correlation functions with multiple soft external lines. In the case of pions, it is well-known that the double-soft limit probes the non-abelian nature of the broken algebra [39]. (See Sec. 4.1 of [66] for a recent discussion of double-soft pion theorems.) In the present context, focusing on the scalar sector with symmetry breaking pattern (1.3), correlation functions with two ζ ’s taken to be soft should similarly instruct us about the underlying broken de Sitter isometries. To zeroth-order in the soft momenta, this has recently been discussed in [37]. However, the non-abelian nature of the conformal algebra should show up at linear order in the soft momenta. This is currently in progress [67].
- Our derivation of the consistency relations from Ward identities crucially relied on the standard Bunch-Davies vacuum. Specifically, this assumption was made in Sec. 3.2 in choosing the vacuum wavefunctional. However, the derivation straightforwardly generalizes to arbitrary initial conditions, by substituting a modified wavefunctional, such as those of interest recently [68–73], in lieu of (3.13). The resulting identities would yield modified consistency relations with which to test non-standard initial conditions [74, 75].

Our derivation of consistency relations from Ward identities is very general and can be readily applied to other symmetry breaking patterns, including non-inflationary ones. The conformal mechanism [76–82], in particular, relies the spontaneous breaking of conformal invariance on approximate flat space, with symmetry breaking pattern $SO(4, 2) \rightarrow SO(4, 1)$. The non-linear realization of $SO(4, 2)$ implies novel consistency relations, which have been derived recently using the background-wave method [83]. It should be straightforward to reproduce these relations from Ward identities.

Acknowledgements: This work has greatly benefited from many discussions and initial collaboration with Walter Goldberger and Alberto Nicolis. We also thank Daniel Baumann, Lasha Berezhiani, Paolo Creminelli, Richard Holman, Austin Joyce, Eiichiro Komatsu, Juan Maldacena, Emil Mottola, Jorge Noreña, Koenraad Schalm, Leonardo Senatore, Marko Simonović, Andrew Tolley, Mark Trodden, Matias

Zaldarriaga, and especially Daniel Green, for helpful discussions. This work is supported in part by the DOE grant DE-FG02-92-ER40699 and NASA ATP grant NNX10AN14G (L.H.), as well as by NASA ATP grant NNX11AI95G, the Alfred P. Sloan Foundation and NSF CAREER Award PHY-1145525 (J.K.). Research at Perimeter Institute is supported by the Government of Canada through Industry Canada and by the Province of Ontario through the Ministry of Economic Development and Innovation. This work was made possible in part through the support of a grant from the John Templeton Foundation. The opinions expressed in this publication are those of the authors and do not necessarily reflect the views of the John Templeton Foundation (K.H.).

A Conventions

In this Appendix we briefly summarize our conventions.

A.1 Power Spectra

The curvature perturbation is expanded in terms of Fourier modes as

$$\zeta(\vec{x}, t) = \int \frac{d^3k}{(2\pi)^3} \zeta(\vec{k}, t) e^{-i\vec{k}\cdot\vec{x}}. \quad (\text{A.1})$$

The scalar power spectrum $P_\zeta(k, t)$ is defined as

$$\langle \Omega | \zeta(\vec{k}) \zeta(\vec{k}') | \Omega \rangle = (2\pi)^3 \delta^3(\vec{k} + \vec{k}') P_\zeta(k, t). \quad (\text{A.2})$$

We also define the free-theory power spectrum, $P_\zeta^0(k, t)$, in terms of the 2-point function of interaction-picture fields with respect to the free-theory vacuum state:

$$\langle 0 | \zeta_0(\vec{k}) \zeta_0(\vec{k}') | 0 \rangle = (2\pi)^3 \delta^3(\vec{k} + \vec{k}') P_\zeta^0(k, t). \quad (\text{A.3})$$

In slow-roll inflation, for instance, $P_\zeta^0 = H^2/4M_{\text{Pl}}^2 \epsilon k^3$.

Similarly, we expand tensor modes as

$$\gamma_{ij}(\vec{x}, t) = \int \frac{d^3k}{(2\pi)^3} \gamma_{ij}(\vec{k}, t) e^{-i\vec{k}\cdot\vec{x}}. \quad (\text{A.4})$$

In the helicity basis, we follow [3] and write

$$\gamma_{ij}(\vec{k}, t) = \sum_{s=\pm} \epsilon_{ij}^s(\hat{k}) \gamma^s(\vec{k}, t), \quad (\text{A.5})$$

where the polarization tensors ϵ_{ij}^s are transverse and traceless, $\hat{k}^i \epsilon_{ij}^s = \epsilon_{ii}^s = 0$. These satisfy the orthonormality condition,

$$\epsilon_{ij}^s(\hat{k}) \epsilon_{ij}^{s'}(\hat{k}) = 2\delta_{ss'}, \quad (\text{A.6})$$

and the completeness relation,

$$\sum_s \epsilon_{ij}^s(\hat{k}) \epsilon_{kl}^s(\hat{k}) = P_{ijkl}^T(\hat{k}), \quad (\text{A.7})$$

where the projector $P_{ijk\ell}^T$ is defined in (4.3).

The tensor power spectrum is defined as

$$\langle \Omega | \gamma^s(\vec{k}) \gamma^{s'}(\vec{k}') | \Omega \rangle = (2\pi)^3 \delta^3(\vec{k} + \vec{k}') P_\gamma(k, t) \delta_{ss'}, \quad (\text{A.8})$$

or, equivalently in terms of γ_{ij} ,

$$\langle \Omega | \gamma_{ij}(\vec{k}) \gamma_{ij}(\vec{k}') | \Omega \rangle = (2\pi)^3 \delta^3(\vec{k} + \vec{k}') 4P_\gamma(k, t). \quad (\text{A.9})$$

The free-theory tensor power spectrum is similarly given by

$$\left\langle \Omega \left| \gamma_{0ij}(\vec{k}) \gamma_{0ij}(\vec{k}') \right| \Omega \right\rangle = (2\pi)^3 \delta^3(\vec{k} + \vec{k}') 4P_\gamma^0(k, t). \quad (\text{A.10})$$

In slow-roll inflation, for instance, $P_\gamma^0 = H^2/M_{\text{Pl}}^2 k^3$.

A.2 In-in formalism

We briefly review the in-in formalism. Our goal is to calculate the correlator $\langle \Omega | \mathcal{O}(t) | \Omega \rangle$ for some operator \mathcal{O} , where so far all quantities are in the Heisenberg picture. The operator \mathcal{O} satisfies the Heisenberg equations of motion, $\dot{\mathcal{O}} = i[H(t)\mathcal{O}(t)]$, where $H(t)$ is the full time-dependent Hamiltonian. The state $|\Omega\rangle$ is the state of the system, and does not depend on time in the Heisenberg picture. (This means that it is not an eigenstate of $H(t)$ at all times, since these eigenstates would in general depend on time).

As usual we split the Schrödinger-picture Hamiltonian into a free part and an interaction part, $H(t) = H_0(t) + V(t)$, and move to the interaction picture with respect to this split. We have

$$\begin{aligned} |\Omega(t)\rangle_I &\equiv U_0^\dagger(t, 0) U(t, 0) |\Omega\rangle; \\ \mathcal{O}_I(t) &\equiv U_0^\dagger(t, 0) U(t, 0) \mathcal{O}(t) U_0(t, 0) U^\dagger(t, 0). \end{aligned} \quad (\text{A.11})$$

where U and U_0 are the time evolution operators for the full and free Hamiltonian, respectively. Interaction-picture operators evolve according to the free Hamiltonian: $\dot{\mathcal{O}}_I = i[(H_0)_I(t), \mathcal{O}_I(t)]$. Interaction-picture states evolve according to a Schrödinger equation with $V_I(t)$ playing the role of a Hamiltonian,

$$i \frac{d}{dt} |\Omega(t)\rangle_I = V_I(t) |\Omega(t)\rangle_I, \quad (\text{A.12})$$

with general solution

$$\begin{aligned} |\Omega(t)\rangle_I &= U_I(t, t_i) |\Omega(t_i)\rangle_I; \\ U_I(t, t_i) &\equiv U_0^\dagger(t, 0) U(t, t_i) U_0(t_i, 0) = \begin{cases} T \exp \left\{ -i \int_{t_i}^t dt' V_I(t') \right\} & t > t_i, \\ \bar{T} \exp \left\{ i \int_t^{t_i} dt' V_I(t') \right\} & t < t_i. \end{cases} \end{aligned} \quad (\text{A.13})$$

The expectation value is the same in any picture: $\langle \Omega | \mathcal{O}(t) | \Omega \rangle = {}_I \langle \Omega(t) | \mathcal{O}_I(t) | \Omega(t) \rangle_I$. Using the above expressions for the time evolution, we can write our correlator in terms of the state at the initial time t_i ,

$$\langle \Omega | \mathcal{O}(t) | \Omega \rangle = {}_I \langle \Omega(t) | \mathcal{O}_I(t) | \Omega(t) \rangle_I = {}_I \langle \Omega(t_i) | U_I^\dagger(t, t_i) \mathcal{O}_I(t) U_I(t, t_i) | \Omega(t_i) \rangle_I. \quad (\text{A.14})$$

The statement that $|\Omega\rangle$ is the in-state is the statement that in the far past it was the Fock vacuum $|0\rangle$ of the free field creation/annihilation operators, that is,

$$\lim_{t_i \rightarrow -\infty} |\Omega(t_i)\rangle_I = |0\rangle. \quad (\text{A.15})$$

To see that this coincides with the usual definition of the in-state, we can write the same expression as

$$|\Omega\rangle = \lim_{t_i \rightarrow -\infty} U^\dagger(t_i, 0) U_0(t_i, 0) |0\rangle \equiv \Omega(-\infty) |0\rangle, \quad (\text{A.16})$$

which matches the usual definition. With this, we obtain the in-in form of the correlator (A.14):

$$\langle \Omega | \mathcal{O}(t) | \Omega \rangle = \lim_{t_i \rightarrow -\infty} \langle 0 | U_I^\dagger(t, t_i) \mathcal{O}_I(t) U_I(t, t_i) | 0 \rangle. \quad (\text{A.17})$$

B Charges and Power Spectrum

Here we justify a number of steps made in Sec. 3.2. Recall from Sec. 2.6 that the true conserved charges are $\Delta\bar{Q}$, and $Q = \bar{Q} + f(t)\Delta\bar{Q}$ where $f(t) \equiv \int^t dt' / H(t')$. As such, the arguments made in Sec. 3.2, especially (3.11), can be rigorously applied to them to obtain the analogs of (3.19):

$$\begin{aligned} \Delta\bar{Q}|\Omega\rangle &= \int \frac{d^3q}{(2\pi)^3} q_i q^2 \bar{\xi}_j(-\vec{q}) D_\gamma(q) \gamma^{ij}(\vec{q}) |\Omega\rangle \\ Q|\Omega\rangle &= - \int \frac{d^3q}{(2\pi)^3} q_i \bar{\xi}_j(-\vec{q}) \left(\frac{1}{3} D_\zeta(q) \delta^{ij} \zeta(\vec{q}) + D_\gamma(q) \gamma^{ij}(\vec{q}) \right) |\Omega\rangle \\ &\quad + f(t) \int \frac{d^3q}{(2\pi)^3} q_i q^2 \bar{\xi}_j(-\vec{q}) D_\gamma(q) \gamma^{ij}(\vec{q}) |\Omega\rangle. \end{aligned} \quad (\text{B.1})$$

On the other hand, $Q|\Omega\rangle = \bar{Q}|\Omega\rangle + f(t)\Delta\bar{Q}|\Omega\rangle$. Thus, we are led to the conclusion that

$$\bar{Q}|\Omega\rangle = - \int \frac{d^3q}{(2\pi)^3} q_i \bar{\xi}_j(-\vec{q}) \left(\frac{1}{3} D_\zeta(q) \delta^{ij} \zeta(\vec{q}) + D_\gamma(q) \gamma^{ij}(\vec{q}) \right) |\Omega\rangle, \quad (\text{B.2})$$

justifying (3.19). No assumption has been made about \bar{Q} 's time-dependence (or lack thereof).

Another subtlety glossed over in Sec. 3.2 is that we did not distinguish the ζ or γ power spectrum of the full theory from that of the free theory. The reason has to do with the soft limit. In the $\vec{q} \rightarrow 0$ limit, $\zeta(\vec{q})$ and $\gamma_{ij}(\vec{q})$ are time-independent. This once again allows us to apply the analog of (3.11) at any time t we wish:

$$\zeta(\vec{q})|\Omega\rangle = \Omega(-\infty) \zeta_0(\vec{q})|0\rangle, \quad \gamma_{ij}(\vec{q})|\Omega\rangle = \Omega(-\infty) \gamma_{0ij}(\vec{q})|0\rangle. \quad (\text{B.3})$$

We thus have

$$\langle \Omega | \zeta(-\vec{q}) \zeta(\vec{q}) | \Omega \rangle = \langle 0 | \zeta_0(-\vec{q}) \zeta_0(\vec{q}) | 0 \rangle, \quad \langle \Omega | \gamma_{ij}(-\vec{q}) \gamma_{ij}(\vec{q}) | \Omega \rangle = \langle 0 | \gamma_{0ij}(-\vec{q}) \gamma_{0ij}(\vec{q}) | 0 \rangle \quad (\text{B.4})$$

in the soft limit.

C Connected Ward Identities

The connected correlators $\langle k_1 k_2 \cdots k_N \rangle^c$ are defined as

$$\langle k_1 k_2 \cdots k_N \rangle^c = \sum_{\pi} (|\pi| - 1)! (-1)^{|\pi|-1} \prod_{B \in \pi} \left\langle \prod_{a \in B} k_a \right\rangle, \quad (\text{C.1})$$

where π is a partition of $1, \dots, N$, $|\pi|$ is the number of blocks in the partition, and B represents a block of the partition. Here we abuse the notation a bit, and use k_1, \dots, k_N as stand-in for scalar or tensor fields at the corresponding momenta. The full correlators $\langle k_1 k_2 \cdots k_N \rangle$ can then be written in terms of the connected correlators $\langle k_1 k_2 \cdots k_N \rangle^c$ as

$$\langle k_1 k_2 \cdots k_N \rangle = \sum_{\pi} \prod_{B \in \pi} \left\langle \prod_{a \in B} k_a \right\rangle^c. \quad (\text{C.2})$$

In our case we have $\langle k \rangle = \langle k \rangle^c = 0$. Thus we can write

$$\langle k_1 k_2 \cdots k_N \rangle = \sum_{\pi'} \prod_{B \in \pi'} \left\langle \prod_{a \in B} k_a \right\rangle^c. \quad (\text{C.3})$$

where the sum is only over partitions π' where each block has at least two elements. The first few instances are

$$\begin{aligned} \langle k_1 k_2 \rangle &= \langle k_1 k_2 \rangle^c, \\ \langle k_1 k_2 k_3 \rangle &= \langle k_1 k_2 k_3 \rangle^c, \\ \langle k_1 k_2 k_3 k_4 \rangle &= \langle k_1 k_2 k_3 k_4 \rangle^c + \langle k_1 k_2 \rangle^c \langle k_3 k_4 \rangle^c + \langle k_1 k_3 \rangle^c \langle k_2 k_4 \rangle^c + \langle k_1 k_4 \rangle^c \langle k_2 k_3 \rangle^c, \\ \langle k_1 k_2 k_3 k_4 k_5 \rangle &= \langle k_1 k_2 k_3 k_4 k_5 \rangle^c + [\langle k_1 k_2 \rangle^c \langle k_3 k_4 k_5 \rangle^c + 9 \text{ more permutations}], \\ \langle k_1 k_2 k_3 k_4 k_5 k_6 \rangle &= \langle k_1 k_2 k_3 k_4 k_5 k_6 \rangle^c + [\langle k_1 k_2 \rangle^c \langle k_3 k_4 k_5 k_6 \rangle^c + 14 \text{ more permutations}] \\ &\quad + [\langle k_1 k_2 k_3 \rangle^c \langle k_4 k_5 k_6 \rangle^c + 19 \text{ more permutations}], \\ &\vdots \end{aligned} \quad (\text{C.4})$$

Each connected correlator comes with an overall delta function,

$$\langle k_1 k_2 \cdots k_N \rangle^c = (2\pi)^3 \delta^3(k_1 + k_2 + \cdots + k_N) \langle k_1 k_2 \cdots k_N \rangle'. \quad (\text{C.5})$$

The $\langle k_1 k_2 \cdots k_N \rangle'$ are defined on shell. The power spectrum is $P(k) = \langle k, -k \rangle'$.

Our general Ward identity relation for $N \geq 1$ is in terms of the full correlators,

$$\begin{aligned} \lim_{q \rightarrow 0} \mathcal{D}_q \left(\frac{1}{P(q)} \langle q k_1 \cdots k_N \rangle \right) &= (2\pi)^3 \sum_{a=1}^N (\mathcal{D}_a \delta^3(k_a)) \langle k_1 \cdots k_{a-1} k_{a+1} \cdots k_N \rangle \\ &\quad - \sum_{a=1}^N D_a \langle k_1 \cdots k_N \rangle, \end{aligned} \quad (\text{C.6})$$

where \mathcal{D}_a and D_a are differential operators that depend on and act only on the a^{th} momentum. (For example, for the dilations we have $D_a = 3 + k_a \cdot \frac{\partial}{\partial k_a}$.) For $N = 1$, the second line of the RHS does not contribute, the first line of the RHS gives $(2\pi)^3 \mathcal{D}_1 \delta^3(k_1)$ and the relation is satisfied.

What we would like to prove is the following relation for connected correlators, for $N \geq 2$.

$$\lim_{q \rightarrow 0} \mathcal{D}_q \left(\frac{1}{P(q)} \langle q k_1 \cdots k_N \rangle^c \right) = - \sum_{a=1}^N D_a \langle k_1 \cdots k_N \rangle^c. \quad (\text{C.7})$$

For $N = 2$, the first line of the RHS of the relation (C.6) vanishes, and there is no distinction in the remaining correlators between connected and full, and so (C.7) is true for $N = 2$. This is the first step in a proof by induction that (C.7) is true for $N \geq 2$.

For the induction step, assume that (C.7) is true for $N - 1$. We start by breaking up the correlator $\langle q k_1 \cdots k_N \rangle$ on the left-hand side of (C.6) as follows

$$\begin{aligned} \langle q k_1 \cdots k_N \rangle = & \langle q k_1 \cdots k_N \rangle^c + \sum_{a=1}^N \langle q k_a \rangle \langle k_1 \cdots k_{a-1} k_{a+1} \cdots k_N \rangle. \\ & + \sum_{\bar{\pi}'} \left\langle q \prod_{a \in \bar{B}} k_a \right\rangle^c \prod_{B \in (\bar{\pi}' \setminus \bar{B})} \left\langle \prod_{a \in B} k_a \right\rangle^c. \end{aligned} \quad (\text{C.8})$$

Some explanation is in order: we have broken $\langle q k_1 \cdots k_N \rangle$ into its connected pieces. The first term is the fully connected piece $\langle q k_1 \cdots k_N \rangle^c$. The sum in the first line of the right-hand side is over all the partitions in which the soft momentum q appears in a two point factor. The rest of the partitions are in the second line of the right-hand side: here $\bar{\pi}'$ is the set of all non-trivial¹⁸ marked partitions of $1, 2, \dots, N$ where each block has at least two elements. A marked partition is a partition in which one of the blocks of the partition, the one denoted by \bar{B} , is distinguished. In this case, the special block is the one whose connected factor contains the soft momentum q . We denote by $\bar{\pi}' \setminus \bar{B}$ the set of blocks of the marked partition which are not marked. For example, with $N = 3$ there are no terms in the sum over marked partitions (since in this case there are no partitions where all the blocks have at least two elements), and for $N = 4$, the sum reads

$$\begin{aligned} & \langle k_1 k_2 \rangle \langle q k_3 k_4 \rangle^c + \langle k_1 k_3 \rangle \langle q k_2 k_4 \rangle^c + \langle k_1 k_4 \rangle \langle q k_2 k_3 \rangle^c \\ & + \langle k_3 k_4 \rangle \langle q k_1 k_2 \rangle^c + \langle k_2 k_4 \rangle \langle q k_1 k_3 \rangle^c + \langle k_2 k_3 \rangle \langle q k_1 k_4 \rangle^c. \end{aligned} \quad (\text{C.9})$$

Looking at the summation term in the first line of the right-hand side of (C.8), using $\langle q k_a \rangle = (2\pi)^3 \delta^3(q + k_a) P(q)$, and inserting into the left-hand side of (C.6), we precisely reproduce the first line of the right-hand side of (C.6).

Thus, to complete the induction step, we need only show, under the assumption that (C.7) is true for $\leq N - 1$, that the second line of the right-hand side of (C.8), inserted into the left-hand side of (C.6), precisely reproduces the second line of the right-hand side of (C.6) (minus the connected part). The

¹⁸A partition is non-trivial if it does not contain the trivial partition consisting of all the elements, which is captured already by the connected part $\langle q k_1 \cdots k_N \rangle^c$.

second line of the right-hand side of (C.8), inserted into the left-hand side of (C.6) and using (C.7) yields

$$\sum_{\bar{\pi}'} \lim_{q \rightarrow 0} \mathcal{D}_q \left(\frac{1}{P(q)} \left\langle q \prod_{a \in \bar{B}} k_a \right\rangle^c \right) \prod_{B \in (\bar{\pi}' / \bar{B})} \left\langle \prod_{a \in B} k_a \right\rangle^c = - \sum_{\bar{\pi}'} \left[\sum_{a \in \bar{B}} D_a \left\langle \prod_{a \in \bar{B}} k_a \right\rangle^c \right] \prod_{B \in (\bar{\pi}' / \bar{B})} \left\langle \prod_{a \in B} k_a \right\rangle^c. \quad (\text{C.10})$$

Next we break up the correlator in the second line of the right-hand side of (C.6) into its connected pieces, where the sum is only over non-trivial partitions π' where each block has at least two elements,

$$\langle k_1 k_2 \cdots k_N \rangle = \langle k_1 k_2 \cdots k_N \rangle^c + \sum_{\pi'} \prod_{B \in \pi'} \left\langle \prod_{a \in B} k_a \right\rangle^c. \quad (\text{C.11})$$

The operator $\sum_{a=1}^N D_a$ can be broken up over a given partition π' as $\sum_{B \in \pi'} \sum_{a \in B} D_a$, and when applied to $\sum_{\pi'} \prod_{B \in \pi'} \langle \prod_{a \in B} k_a \rangle^c$, we generate the sum over marked partitions,

$$\sum_{\bar{\pi}'} \left[\sum_{a \in \bar{B}} D_a \left\langle \prod_{a \in \bar{B}} k_a \right\rangle^c \right] \prod_{B \in (\bar{\pi}' / \bar{B})} \left\langle \prod_{a \in B} k_a \right\rangle^c, \quad (\text{C.12})$$

where the D_a operator serves as the marker. This completes the proof.

D Removing Delta Functions

In this Appendix, we show how to cancel the delta function factors in (3.28) to obtain the primed Ward identities (3.30). To begin with, it is convenient to rewrite the right-hand side of (3.28) as

$$\begin{aligned} & \lim_{\vec{q} \rightarrow 0} M_{i\ell_0 \dots \ell_n} \frac{\partial^n}{\partial q_{\ell_1} \cdots \partial q_{\ell_n}} \left(\frac{1}{P_\gamma(q)} \langle \gamma^{i\ell_0}(\vec{q}) \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle_c + \frac{\delta^{i\ell_0}}{3P_\zeta(q)} \langle \zeta(\vec{q}) \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle_c \right) \\ = & - \lim_{\vec{q} \rightarrow 0} M_{i\ell_0 \dots \ell_n} \left\{ \sum_{a=1}^N \left(\delta^{i\ell_0} \frac{\partial^n}{\partial k_{\ell_1}^a \cdots \partial k_{\ell_n}^a} + \frac{k_a^i}{n+1} \frac{\partial^{n+1}}{\partial k_{\ell_0}^a \cdots \partial k_{\ell_n}^a} \right) \langle \mathcal{O}(\vec{k}_1, \dots, \vec{k}_a + \vec{q}, \dots, \vec{k}_N) \rangle_c \right. \\ & - \sum_{a=1}^M \Upsilon^{i\ell_0 i_a j_a}(\hat{k}_a) \frac{\partial^n}{\partial k_{\ell_1}^a \cdots \partial k_{\ell_n}^a} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_M) \gamma_{i_a j_a}(\vec{k}_a + \vec{q}) \mathcal{O}^\gamma(\vec{k}_{M+1}, \dots, \vec{k}_N) \rangle_c \\ & - \sum_{b=M+1}^N \Gamma^{i\ell_0}_{i_b j_b}{}^{k_b \ell_b}(\hat{k}_b) \frac{\partial^n}{\partial k_{\ell_1}^b \cdots \partial k_{\ell_n}^b} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_M) \mathcal{O}_{i_{M+1} j_{M+1}, \dots, k_b \ell_b, \dots, i_N j_N}^\gamma(\vec{k}_{M+1}, \dots, \vec{k}_b + \vec{q}, \dots, \vec{k}_N) \rangle_c \Big\} \\ & + \dots, \end{aligned} \quad (\text{D.1})$$

where as before the ellipses stand for higher-order tensor terms. In this form, the correlators on both sides of the identity involve the *same* delta function $\delta^3(\vec{P})$, where $\vec{P} \equiv \vec{q} + \vec{k}_1 + \dots + \vec{k}_N$.

First, consider the case $n = 0$. Expressing (D.1) in terms of primed correlators in this case gives

$$\begin{aligned}
& \lim_{\vec{q} \rightarrow 0} M_{i\ell_0} \left(\frac{1}{P_\gamma(q)} \langle \gamma^{i\ell_0}(\vec{q}) \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c + \frac{\delta^{i\ell_0}}{3P_\zeta(q)} \langle \zeta(\vec{q}) \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right) \delta^3(\vec{P}) \\
&= - \lim_{\vec{q} \rightarrow 0} M_{i\ell_0} \left\{ \left(N \delta^{i\ell_0} + \sum_{a=1}^N k_a^i \frac{\partial}{\partial k_{\ell_0}^a} \right) \langle \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right. \\
&\quad - \sum_{a=1}^M \Upsilon^{i\ell_0 i_a j_a}(\hat{k}_a) \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_M) \gamma_{i_a j_a}(\vec{k}_a) \mathcal{O}^\gamma(\vec{k}_{M+1}, \dots, \vec{k}_N) \rangle_c \\
&\quad \left. - \sum_{b=M+1}^N \Gamma^{i\ell_0}_{i_b j_b}{}^{k_b \ell_b}(\hat{k}_b) \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_M) \mathcal{O}^\gamma_{i_{M+1} j_{M+1}, \dots, k_b \ell_b, \dots, i_N j_N}(\vec{k}_{M+1}, \dots, \vec{k}_N) \rangle'_c \right\} \delta^3(\vec{P}) \\
&\quad - \lim_{\vec{q} \rightarrow 0} M_{i\ell_0} \langle \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c P^i \frac{\partial}{\partial P^{\ell_0}} \delta^3(\vec{P}) + \dots \tag{D.2}
\end{aligned}$$

Integrating the last term by parts, and using the fact that $P^i \delta^3(\vec{P}) \equiv 0$, we obtain

$$\begin{aligned}
& \lim_{\vec{q} \rightarrow 0} M_{i\ell_0} \left(\frac{1}{P_\gamma(q)} \langle \gamma^{i\ell_0}(\vec{q}) \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c + \frac{\delta^{i\ell_0}}{3P_\zeta(q)} \langle \zeta(\vec{q}) \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right) \\
&= -M_{i\ell_0} \left\{ \left((N-1) \delta^{i\ell_0} + \sum_{a=1}^N k_a^i \frac{\partial}{\partial k_{\ell_0}^a} \right) \langle \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right. \\
&\quad - \sum_{a=1}^M \Upsilon^{i\ell_0 i_a j_a}(\hat{k}_a) \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_M) \gamma_{i_a j_a}(\vec{k}_a) \mathcal{O}^\gamma(\vec{k}_{M+1}, \dots, \vec{k}_N) \rangle_c \\
&\quad \left. - \sum_{b=M+1}^N \Gamma^{i\ell_0}_{i_b j_b}{}^{k_b \ell_b}(\hat{k}_b) \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_M) \mathcal{O}^\gamma_{i_{M+1} j_{M+1}, \dots, k_b \ell_b, \dots, i_N j_N}(\vec{k}_{M+1}, \dots, \vec{k}_N) \rangle'_c \right\} + \dots \\
&\quad (n = 0 \text{ identity}), \tag{D.3}
\end{aligned}$$

where we have canceled the delta functions from both sides. Note that the limit $\vec{q} \rightarrow 0$ has been explicitly taken on the right-hand side, hence the right-hand side is now independent of \vec{q} . This is the desired “primed” Ward identity for $n = 0$, in agreement with (3.30).

Next we prove that (D.1) holds in the same form for the primed correlation functions for all $n \geq 1$:

$$\begin{aligned}
& \lim_{\vec{q} \rightarrow 0} M_{i\ell_0 \dots \ell_n} \frac{\partial^n}{\partial q_{\ell_1} \dots \partial q_{\ell_n}} \left(\frac{1}{P_\gamma(q)} \langle \gamma^{i\ell_0}(\vec{q}) \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c + \frac{\delta^{i\ell_0}}{3P_\zeta(q)} \langle \zeta(\vec{q}) \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right) \\
&= -M_{i\ell_0 \dots \ell_n} \left\{ \sum_{a=1}^N \left(\delta^{i\ell_0} \frac{\partial^n}{\partial k_{\ell_1}^a \dots \partial k_{\ell_n}^a} + \frac{k_a^i}{n+1} \frac{\partial^{n+1}}{\partial k_{\ell_0}^a \dots \partial k_{\ell_n}^a} \right) \langle \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right. \\
&\quad - \sum_{a=1}^M \Upsilon^{i\ell_0 i_a j_a}(\hat{k}_a) \frac{\partial^n}{\partial k_{\ell_1}^a \dots \partial k_{\ell_n}^a} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_M) \gamma_{i_a j_a}(\vec{k}_a) \mathcal{O}^\gamma(\vec{k}_{M+1}, \dots, \vec{k}_N) \rangle'_c \\
&\quad \left. - \sum_{b=M+1}^N \Gamma^{i\ell_0}_{i_b j_b}{}^{k_b \ell_b}(\hat{k}_b) \frac{\partial^n}{\partial k_{\ell_1}^b \dots \partial k_{\ell_n}^b} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_M) \mathcal{O}^\gamma_{i_{M+1} j_{M+1}, \dots, k_b \ell_b, \dots, i_N j_N}(\vec{k}_{M+1}, \dots, \vec{k}_N) \rangle'_c \right\} + \dots \\
&\quad (n \geq 1 \text{ identities}). \tag{D.4}
\end{aligned}$$

We prove this by strong induction. (To simplify the notation, we will omit the momentum dependence except where necessary, and the $\vec{q} \rightarrow 0$ limit will be understood everywhere.) Starting with $n = 1$,

$$\begin{aligned}
& M_{i\ell_0\ell_1} \frac{\partial}{\partial q_{\ell_1}} \left(\frac{1}{P_\gamma} \langle \gamma^{i\ell_0} \mathcal{O} \rangle'_c + \frac{\delta^{i\ell_0}}{3P_\zeta} \langle \zeta \mathcal{O} \rangle'_c \right) \delta^3(\vec{P}) + M_{i\ell_0\ell_1} \left(\frac{1}{P_\gamma} \langle \gamma^{i\ell_0} \mathcal{O} \rangle'_c + \frac{\delta^{i\ell_0}}{3P_\zeta} \langle \zeta \mathcal{O} \rangle'_c \right) \frac{\partial}{\partial P_{\ell_1}} \delta^3(\vec{P}) \\
&= -M_{i\ell_0\ell_1} \left\{ \sum_a \left(\delta^{i\ell_0} \frac{\partial}{\partial k_{\ell_1}^a} + \frac{1}{2} k_a^i \frac{\partial^2}{\partial k_{\ell_0}^a \partial k_{\ell_1}^a} \right) \langle \mathcal{O} \rangle'_c - \sum_{a=1}^M \Upsilon^{i\ell_0 i_a j_a} \frac{\partial}{\partial k_{\ell_1}^a} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_M) \gamma_{i_a j_a} \mathcal{O}^\gamma \rangle'_c \right. \\
&\quad \left. - \sum_{b=M+1}^N \Gamma^{i\ell_0}_{i_b j_b} \frac{k_b \ell_b}{\partial k_{\ell_1}^b} \langle \mathcal{O}^\zeta \mathcal{O}^\gamma_{i_{M+1} j_{M+1}, \dots, k_b \ell_b, \dots, i_N j_N} \rangle'_c \right\} \delta^3(\vec{P}) \\
&\quad - M_{i\ell_0\ell_1} \left\{ \left(N \delta^{i\ell_0} + \sum_a k_a^i \frac{\partial}{\partial k_{\ell_0}^a} \right) \langle \mathcal{O} \rangle'_c - \sum_{a=1}^M \Upsilon^{i\ell_0 i_a j_a} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_M) \gamma_{i_a j_a} \mathcal{O}^\gamma \rangle'_c \right. \\
&\quad \left. - \sum_{b=M+1}^N \Gamma^{i\ell_0}_{i_b j_b} \frac{k_b \ell_b}{\partial k_{\ell_1}^b} \langle \mathcal{O}^\zeta \mathcal{O}^\gamma_{i_{M+1} j_{M+1}, \dots, k_b \ell_b, \dots, i_N j_N} \rangle'_c \right\} \frac{\partial}{\partial P_{\ell_1}} \delta^3(\vec{P}) \\
&\quad - \frac{1}{2} M_{i\ell_0\ell_1} \langle \mathcal{O} \rangle'_c P^i \frac{\partial^2}{\partial P_{\ell_0} \partial P_{\ell_1}} \delta^3(\vec{P}) + \dots \\
&= -M_{i\ell_0\ell_1} \left\{ \sum_a \left(\delta^{i\ell_0} \frac{\partial}{\partial k_{\ell_1}^a} + \frac{1}{2} k_a^i \frac{\partial^2}{\partial k_{\ell_0}^a \partial k_{\ell_1}^a} \right) \langle \mathcal{O} \rangle'_c - \sum_{a=1}^M \Upsilon^{i\ell_0 i_a j_a} \frac{\partial}{\partial k_{\ell_1}^a} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_M) \gamma_{i_a j_a} \mathcal{O}^\gamma \rangle'_c \right. \\
&\quad \left. - \sum_{b=M+1}^N \Gamma^{i\ell_0}_{i_b j_b} \frac{k_b \ell_b}{\partial k_{\ell_1}^b} \langle \mathcal{O}^\zeta \mathcal{O}^\gamma_{i_{M+1} j_{M+1}, \dots, k_b \ell_b, \dots, i_N j_N} \rangle'_c \right\} \delta^3(\vec{P}) \\
&\quad - M_{i\ell_0\ell_1} \left\{ \left((N-1) \delta^{i\ell_0} + \sum_a k_a^i \frac{\partial}{\partial k_{\ell_0}^a} \right) \langle \mathcal{O} \rangle'_c - \sum_{a=1}^M \Upsilon^{i\ell_0 i_a j_a} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_M) \gamma_{i_a j_a} \mathcal{O}^\gamma \rangle'_c \right. \\
&\quad \left. - \sum_{b=M+1}^N \Gamma^{i\ell_0}_{i_b j_b} \frac{k_b \ell_b}{\partial k_{\ell_1}^b} \langle \mathcal{O}^\zeta \mathcal{O}^\gamma_{i_{M+1} j_{M+1}, \dots, k_b \ell_b, \dots, i_N j_N} \rangle'_c \right\} \frac{\partial}{\partial P_{\ell_1}} \delta^3(\vec{P}) + \dots \tag{D.5}
\end{aligned}$$

where in the last step we have integrated the $\partial^2/\partial P_{\ell_0} \partial P_{\ell_1}$ once by parts using the fact that $M_{i\ell_0\ell_1}$ is symmetric in its last two indices. Collecting all $\frac{\partial}{\partial P_{\ell_1}} \delta^3(\vec{P})$ terms, we see that their coefficient is proportional to the $n = 0$ primed identity (D.3) and thus vanishes. Hence we are left with

$$\begin{aligned}
& \lim_{\vec{q} \rightarrow 0} M_{i\ell_0\ell_1} \frac{\partial}{\partial q_{\ell_1}} \left(\frac{1}{P_\gamma(q)} \langle \gamma^{i\ell_0}(\vec{q}) \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c + \frac{\delta^{i\ell_0}}{3P_\zeta(q)} \langle \zeta(\vec{q}) \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right) \\
&= -M_{i\ell_0\ell_1} \left\{ \sum_{a=1}^N \left(\delta^{i\ell_0} \frac{\partial}{\partial k_{\ell_1}^a} + \frac{1}{2} k_a^i \frac{\partial^2}{\partial k_{\ell_0}^a \partial k_{\ell_1}^a} \right) \langle \mathcal{O}(\vec{k}_1, \dots, \vec{k}_N) \rangle'_c \right. \\
&\quad \left. - \sum_{a=1}^M \Upsilon^{i\ell_0 i_a j_a}(\hat{k}_a) \frac{\partial}{\partial k_{\ell_1}^a} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_M) \gamma_{i_a j_a}(\vec{k}_a) \mathcal{O}^\gamma(\vec{k}_{M+1}, \dots, \vec{k}_N) \rangle'_c \right. \\
&\quad \left. - \sum_{b=M+1}^N \Gamma^{i\ell_0}_{i_b j_b} \frac{k_b \ell_b}{\partial k_{\ell_1}^b} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_M) \mathcal{O}^\gamma_{i_{M+1} j_{M+1}, \dots, k_b \ell_b, \dots, i_N j_N}(\vec{k}_{M+1}, \dots, \vec{k}_N) \rangle'_c \right\} + \dots \\
&\hspace{25em} (n = 1 \text{ identity}), \tag{D.6}
\end{aligned}$$

which is the desired result for $n = 1$.

By strong induction, we next assume that (3.30) holds for all $m \leq n - 1$ for some $n \geq 2$, and show that it must therefore hold for $m = n$. Expressing (3.28) in terms of primed correlators, clearly the desired result corresponds to no derivatives acting on the delta functions. The proof therefore boils down to showing that all terms with at least one derivative on a delta function cancel out. Let us first collect all $\frac{\partial^j}{\partial P^j} \delta^3(\vec{P})$ terms, where $1 \leq j \leq n - 1$. Since $M_{i\ell_0 \dots \ell_n}$ is symmetric in its last $n + 1$ indices, we get

$$\begin{aligned}
M_{i\ell_0 \dots \ell_n} \frac{\partial^j \delta^3(\vec{P})}{\partial P_{\ell_{n-j+1}} \dots \partial P_{\ell_n}} & \left\{ \binom{n}{j} \frac{\partial^{n-j}}{\partial q_{\ell_1} \dots \partial q_{\ell_{n-j}}} \left(\frac{1}{P_\gamma} \langle \gamma^{i\ell_0} \mathcal{O} \rangle'_c + \frac{\delta^{i\ell_0}}{3P_\zeta} \langle \zeta \mathcal{O} \rangle'_c \right) \right. \\
& + \sum_a \left[\delta^{i\ell_0} \binom{n}{j} \frac{\partial^{n-j}}{\partial k_{\ell_1}^a \dots \partial k_{\ell_{n-j}}^a} + \frac{k_a^i}{n+1} \binom{n+1}{j} \frac{\partial^{n-j+1}}{\partial k_{\ell_0}^a \dots \partial k_{\ell_{n-j}}^a} \right] \langle \mathcal{O} \rangle'_c \\
& - \sum_{a=1}^M \Upsilon^{i\ell_0 i_a j_a} \binom{n}{j} \frac{\partial^{n-j}}{\partial k_{\ell_1}^a \dots \partial k_{\ell_{n-j}}^a} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_M) \gamma_{i_a j_a} \mathcal{O}^\gamma \rangle'_c \\
& \left. - \sum_{b=M+1}^N \Gamma^{i\ell_0}_{i_b j_b} \frac{k_b \ell_b}{i_b j_b} \binom{n}{j} \frac{\partial^{n-j}}{\partial k_{\ell_1}^b \dots \partial k_{\ell_{n-j}}^b} \langle \mathcal{O}^\zeta \mathcal{O}^\gamma_{i_{M+1} j_{M+1}, \dots, k_b \ell_b, \dots, i_N j_N} \rangle'_c \right\}. \quad (D.7)
\end{aligned}$$

Using the fact that

$$\binom{n+1}{j} = \binom{n}{j} \cdot \frac{n+1}{n-j+1}, \quad (D.8)$$

this reduces to

$$\begin{aligned}
\binom{n}{j} M_{i\ell_0 \dots \ell_n} \frac{\partial^j \delta^3(\vec{P})}{\partial P_{\ell_{n-j+1}} \dots \partial P_{\ell_n}} & \left\{ \frac{\partial^{n-j}}{\partial q_{\ell_1} \dots \partial q_{\ell_{n-j}}} \left(\frac{1}{P_\gamma} \langle \gamma^{i\ell_0} \mathcal{O} \rangle'_c + \frac{\delta^{i\ell_0}}{3P_\zeta} \langle \zeta \mathcal{O} \rangle'_c \right) \right. \\
& + \sum_a \left[\delta^{i\ell_0} \frac{\partial^{n-j}}{\partial k_{\ell_1}^a \dots \partial k_{\ell_{n-j}}^a} + \frac{k_a^i}{n-j+1} \frac{\partial^{n-j+1}}{\partial k_{\ell_0}^a \dots \partial k_{\ell_{n-j+1}}^a} \right] \langle \mathcal{O} \rangle'_c \\
& - \sum_{a=1}^M \Upsilon^{i\ell_0 i_a j_a} \binom{n}{j} \frac{\partial^{n-j}}{\partial k_{\ell_1}^a \dots \partial k_{\ell_{n-j}}^a} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_M) \gamma_{i_a j_a} \mathcal{O}^\gamma \rangle'_c \\
& \left. - \sum_{b=M+1}^N \Gamma^{i\ell_0}_{i_b j_b} \frac{k_b \ell_b}{i_b j_b} \frac{\partial^{n-j}}{\partial k_{\ell_1}^b \dots \partial k_{\ell_{n-j}}^b} \langle \mathcal{O}^\zeta \mathcal{O}^\gamma_{i_{M+1} j_{M+1}, \dots, k_b \ell_b, \dots, i_N j_N} \rangle'_c \right\}. \quad (D.9)
\end{aligned}$$

Thus the terms with j derivatives on the delta function, with $1 \leq j \leq n - 1$, are proportional to the $n - j$ primed Ward identity, which holds by assumption. It remains to show that terms with at least n derivatives on $\delta^3(\vec{P})$ also cancel out. We will see that these are in fact proportional to $n = 0$ primed

identity. Collecting such terms, we obtain

$$\begin{aligned}
M_{i\ell_0 \dots \ell_n} \left\{ \frac{\partial^n \delta^3(\vec{P})}{\partial P_{\ell_1} \dots \partial P_{\ell_n}} \left[\frac{1}{P_\gamma} \langle \gamma^{i\ell_0} \mathcal{O} \rangle'_c + \frac{\delta^{i\ell_0}}{3P_\zeta} \langle \zeta \mathcal{O} \rangle'_c + \left(N \delta^{i\ell_0} + \sum_a k_a^i \frac{\partial}{\partial k_{\ell_0}^a} \right) \langle \mathcal{O} \rangle'_c \right. \right. \\
- \sum_{a=1}^M \Upsilon^{i\ell_0 i_a j_a} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_M) \gamma_{i_a j_a} \mathcal{O}^\gamma \rangle'_c \\
\left. \left. - \sum_{b=M+1}^N \Gamma_{i_b j_b}^{i\ell_0} k_b^{\ell_b} \langle \mathcal{O}^\zeta \mathcal{O}_{i_{M+1} j_{M+1}, \dots, k_b \ell_b, \dots, i_N j_N}^\gamma \rangle'_c \right] \right. \\
\left. + \frac{1}{n+1} P^i \frac{\partial^{n+1} \delta^3(\vec{P})}{\partial P_{\ell_0} \dots \partial P_{\ell_n}} \langle \mathcal{O} \rangle'_c \right\} \quad (\text{D.10})
\end{aligned}$$

Integrating the last term once by parts, using the symmetry properties of M , we obtain

$$\begin{aligned}
M_{i\ell_0 \dots \ell_n} \frac{\partial^n \delta^3(\vec{P})}{\partial P_{\ell_1} \dots \partial P_{\ell_n}} \left[\frac{1}{P_\gamma} \langle \gamma^{i\ell_0} \mathcal{O} \rangle'_c + \frac{\delta^{i\ell_0}}{3P_\zeta} \langle \zeta \mathcal{O} \rangle'_c + \left((N-1) \delta^{i\ell_0} + \sum_a k_a^i \frac{\partial}{\partial k_{\ell_0}^a} \right) \langle \mathcal{O} \rangle'_c \right. \\
- \sum_{a=1}^M \Upsilon^{i\ell_0 i_a j_a} \langle \mathcal{O}^\zeta(\vec{k}_1, \dots, \vec{k}_{a-1}, \vec{k}_{a+1}, \dots, \vec{k}_M) \gamma_{i_a j_a} \mathcal{O}^\gamma \rangle'_c \\
\left. - \sum_{b=M+1}^N \Gamma_{i_b j_b}^{i\ell_0} k_b^{\ell_b} \langle \mathcal{O}^\zeta \mathcal{O}_{i_{M+1} j_{M+1}, \dots, k_b \ell_b, \dots, i_N j_N}^\gamma \rangle'_c \right] \quad (\text{D.11})
\end{aligned}$$

As advocated, we recognize the $n=0$ identity in square brackets, hence terms with at least n derivatives on the delta function cancel out. This completes the proof of (D.4).

E Component Operators

In this Appendix, we describe a brute force method for constructing the operators used in Sec. 3.4. We are looking for operators $P_{i\ell_0 \dots \ell_n j m_0 \dots m_n}(\hat{q})$, composed from \hat{q}_i and δ_{ij} , which satisfy the four conditions listed in Sec. 3.4.

We start by writing down by writing down all possible terms constructed from \hat{q}_i and δ_{ij} which have $2n+2$ indices. First there is a one term which is just a product of $2n+2$ q 's, then there are terms where two of the indices are on a δ and the rest are q 's (there are $\binom{2n+2}{2}$ of these since we may choose any of the 2 indices for the δ), then there are the terms with two δ 's, and so on, until we get to the terms which are products of $n+1$ δ 's.

Now we take all these terms and write them down with an arbitrary coefficient in front of each. Then we impose the conditions listed in Sec. 3.4. Since the conditions are linear, this will generate a set of linear equations which the coefficients must satisfy. There will be some subspace of solutions to this linear system. Choosing a linearly independent basis of this subspace will yield our component operators.

For example, in the simplest case $n = 0$, we obtain the following 3 operators,

$$\begin{aligned}
& 2P_{im_0}P_{\ell_0j} - P_{i\ell_0}P_{jm_0} , \\
& \hat{q}_{\ell_0}\hat{q}_{m_0}P_{ij} - \hat{q}_{\ell_0}\hat{q}_jP_{im_0} - \hat{q}_i\hat{q}_{m_0}P_{\ell_0j} + \hat{q}_i\hat{q}_jP_{\ell_0m_0} , \\
& \hat{q}_{\ell_0}\hat{q}_{m_0}P_{ij} - \hat{q}_{\ell_0}\hat{q}_jP_{im_0} - \hat{q}_i\hat{q}_{m_0}P_{\ell_0j} - P_{im_0}P_{\ell_0j} + \hat{q}_i\hat{q}_jP_{\ell_0m_0} + P_{ij}P_{\ell_0m_0} ,
\end{aligned} \tag{E.1}$$

where $P_{ij} \equiv \delta_{ij} - \hat{q}_i\hat{q}_j$.

For $n = 1$ we obtain the follow 3 operators:

$$\begin{aligned}
& \frac{1}{2}\hat{q}_{l_1}\hat{q}_{m_1}P_{im_0}P_{l_0j} + \frac{1}{2}\hat{q}_{l_1}\hat{q}_{m_0}P_{im_1}P_{l_0j} + \frac{1}{2}\hat{q}_{l_1}\hat{q}_{m_1}P_{ij}P_{l_0m_0} - \frac{1}{2}\hat{q}_{l_1}\hat{q}_jP_{im_1}P_{l_0m_0} + \frac{1}{2}\hat{q}_{l_1}\hat{q}_{m_0}P_{ij}P_{l_0m_1} \\
& - \frac{1}{2}\hat{q}_{l_1}\hat{q}_jP_{im_0}P_{l_0m_1} + \frac{1}{2}\hat{q}_{l_0}\hat{q}_{m_1}P_{im_0}P_{l_1j} + \frac{1}{2}\hat{q}_{l_0}\hat{q}_{m_0}P_{im_1}P_{l_1j} - \frac{1}{2}\hat{q}_i\hat{q}_{m_1}P_{l_0m_0}P_{l_1j} - \frac{1}{4}P_{im_1}P_{l_0m_0}P_{l_1j} \\
& - \frac{1}{2}\hat{q}_i\hat{q}_{m_0}P_{l_0m_1}P_{l_1j} - \frac{1}{4}P_{im_0}P_{l_0m_1}P_{l_1j} + \frac{1}{2}\hat{q}_{l_0}\hat{q}_{m_1}P_{ij}P_{l_1m_0} - \frac{1}{2}\hat{q}_{l_0}\hat{q}_jP_{im_1}P_{l_1m_0} - \frac{1}{2}\hat{q}_i\hat{q}_{m_1}P_{l_0j}P_{l_1m_0} \\
& - \frac{1}{4}P_{im_1}P_{l_0j}P_{l_1m_0} + \frac{1}{2}\hat{q}_i\hat{q}_jP_{l_0m_1}P_{l_1m_0} - \frac{1}{2}P_{ij}P_{l_0m_1}P_{l_1m_0} + \frac{1}{2}\hat{q}_{l_0}\hat{q}_{m_0}P_{ij}P_{l_1m_1} - \frac{1}{2}\hat{q}_{l_0}\hat{q}_jP_{im_0}P_{l_1m_1} \\
& - \frac{1}{2}\hat{q}_i\hat{q}_{m_0}P_{l_0j}P_{l_1m_1} - \frac{1}{4}P_{im_0}P_{l_0j}P_{l_1m_1} + \frac{1}{2}\hat{q}_i\hat{q}_jP_{l_0m_0}P_{l_1m_1} - \frac{1}{2}P_{ij}P_{l_0m_0}P_{l_1m_1} - \frac{1}{2}\hat{q}_{l_1}\hat{q}_{m_1}P_{il_0}P_{jm_0} \\
& - \frac{1}{2}\hat{q}_{l_0}\hat{q}_{m_1}P_{il_1}P_{jm_0} + \frac{1}{2}\hat{q}_i\hat{q}_{m_1}P_{l_0l_1}P_{jm_0} + \frac{1}{4}P_{il_1}P_{l_0m_1}P_{jm_0} + \frac{1}{4}P_{il_0}P_{l_1m_1}P_{jm_0} - \frac{1}{2}\hat{q}_{l_1}\hat{q}_{m_0}P_{il_0}P_{jm_1} \\
& - \frac{1}{2}\hat{q}_{l_0}\hat{q}_{m_0}P_{il_1}P_{jm_1} + \frac{1}{2}\hat{q}_i\hat{q}_{m_0}P_{l_0l_1}P_{jm_1} + \frac{1}{4}P_{il_1}P_{l_0m_0}P_{jm_1} + \frac{1}{4}P_{il_0}P_{l_1m_0}P_{jm_1} + \frac{1}{2}\hat{q}_{l_1}\hat{q}_jP_{il_0}P_{m_0m_1} \\
& + \frac{1}{2}\hat{q}_{l_0}\hat{q}_jP_{il_1}P_{m_0m_1} - \frac{1}{2}\hat{q}_i\hat{q}_jP_{l_0l_1}P_{m_0m_1} + \frac{1}{2}P_{ij}P_{l_0l_1}P_{m_0m_1} ,
\end{aligned} \tag{E.2}$$

$$\begin{aligned}
& - \frac{1}{2}\hat{q}_{l_1}\hat{q}_{m_1}P_{im_0}P_{l_0j} - \frac{1}{2}\hat{q}_{l_1}\hat{q}_{m_0}P_{im_1}P_{l_0j} - \frac{1}{2}\hat{q}_{l_1}\hat{q}_{m_1}P_{ij}P_{l_0m_0} + \frac{1}{2}\hat{q}_{l_1}\hat{q}_jP_{im_1}P_{l_0m_0} - \frac{1}{2}\hat{q}_{l_1}\hat{q}_{m_0}P_{ij}P_{l_0m_1} \\
& + \frac{1}{2}\hat{q}_{l_1}\hat{q}_jP_{im_0}P_{l_0m_1} - \frac{1}{2}\hat{q}_{l_0}\hat{q}_{m_1}P_{im_0}P_{l_1j} - \frac{1}{2}\hat{q}_{l_0}\hat{q}_{m_0}P_{im_1}P_{l_1j} + \frac{1}{2}\hat{q}_i\hat{q}_{m_1}P_{l_0m_0}P_{l_1j} - \frac{1}{4}P_{im_1}P_{l_0m_0}P_{l_1j} \\
& + \frac{1}{2}\hat{q}_i\hat{q}_{m_0}P_{l_0m_1}P_{l_1j} - \frac{1}{4}P_{im_0}P_{l_0m_1}P_{l_1j} - \frac{1}{2}\hat{q}_{l_0}\hat{q}_{m_1}P_{ij}P_{l_1m_0} + \frac{1}{2}\hat{q}_{l_0}\hat{q}_jP_{im_1}P_{l_1m_0} + \frac{1}{2}\hat{q}_i\hat{q}_{m_1}P_{l_0j}P_{l_1m_0} \\
& - \frac{1}{4}P_{im_1}P_{l_0j}P_{l_1m_0} - \frac{1}{2}\hat{q}_i\hat{q}_jP_{l_0m_1}P_{l_1m_0} - \frac{1}{2}P_{ij}P_{l_0m_1}P_{l_1m_0} - \frac{1}{2}\hat{q}_{l_0}\hat{q}_{m_0}P_{ij}P_{l_1m_1} + \frac{1}{2}\hat{q}_{l_0}\hat{q}_jP_{im_0}P_{l_1m_1} \\
& + \frac{1}{2}\hat{q}_i\hat{q}_{m_0}P_{l_0j}P_{l_1m_1} - \frac{1}{4}P_{im_0}P_{l_0j}P_{l_1m_1} - \frac{1}{2}\hat{q}_i\hat{q}_jP_{l_0m_0}P_{l_1m_1} - \frac{1}{2}P_{ij}P_{l_0m_0}P_{l_1m_1} + \frac{1}{2}\hat{q}_{l_1}\hat{q}_{m_1}P_{il_0}P_{jm_0} \\
& + \frac{1}{2}\hat{q}_{l_0}\hat{q}_{m_1}P_{il_1}P_{jm_0} - \frac{1}{2}\hat{q}_i\hat{q}_{m_1}P_{l_0l_1}P_{jm_0} + \frac{1}{4}P_{il_1}P_{l_0m_1}P_{jm_0} + \frac{1}{4}P_{il_0}P_{l_1m_1}P_{jm_0} + \frac{1}{2}\hat{q}_{l_1}\hat{q}_{m_0}P_{il_0}P_{jm_1} \\
& + \frac{1}{2}\hat{q}_{l_0}\hat{q}_{m_0}P_{il_1}P_{jm_1} - \frac{1}{2}\hat{q}_i\hat{q}_{m_0}P_{l_0l_1}P_{jm_1} + \frac{1}{4}P_{il_1}P_{l_0m_0}P_{jm_1} + \frac{1}{4}P_{il_0}P_{l_1m_0}P_{jm_1} - \frac{1}{2}\hat{q}_{l_1}\hat{q}_jP_{il_0}P_{m_0m_1} \\
& - \frac{1}{2}\hat{q}_{l_0}\hat{q}_jP_{il_1}P_{m_0m_1} + \frac{1}{2}\hat{q}_i\hat{q}_jP_{l_0l_1}P_{m_0m_1} + \frac{1}{2}P_{ij}P_{l_0l_1}P_{m_0m_1} ,
\end{aligned} \tag{E.3}$$

$$\begin{aligned}
& \frac{1}{2}P_{im_1}P_{l_0m_0}P_{l_1j} + \frac{1}{2}P_{im_0}P_{l_0m_1}P_{l_1j} + \frac{1}{2}P_{im_1}P_{l_0j}P_{l_1m_0} - P_{ij}P_{l_0m_1}P_{l_1m_0} + \frac{1}{2}P_{im_0}P_{l_0j}P_{l_1m_1} \\
& - P_{ij}P_{l_0m_0}P_{l_1m_1} - P_{im_1}P_{l_0l_1}P_{jm_0} + \frac{1}{2}P_{il_1}P_{l_0m_1}P_{jm_0} + \frac{1}{2}P_{il_0}P_{l_1m_1}P_{jm_0} - P_{im_0}P_{l_0l_1}P_{jm_1} \\
& + \frac{1}{2}P_{il_1}P_{l_0m_0}P_{jm_1} + \frac{1}{2}P_{il_0}P_{l_1m_0}P_{jm_1} + l_0P_{ij}P_{l_0l_1}P_{m_0m_1} - P_{il_1}P_{l_0j}P_{m_0m_1} - P_{il_0}P_{l_1j}P_{m_0m_1}. \quad (\text{E.4})
\end{aligned}$$

At each n , we will find 3 operators, though their form becomes increasingly complicated as n goes up.

The operators we find in this manner will not in general be projection operators, because they do not satisfy the conditions $P_AP_A = P_A\delta_{AB}$ that a set of projectors P_A should satisfy. The subspace of M 's satisfying the required symmetry conditions has some dimension, d . If the P 's were projectors, the sum of the dimensions of the images of the P 's would equal d , but in our case this sum can be $> d$, so we have an overcomplete set of operators. We can in principle enforce the conditions $P_AP_A = P_A\delta_{AB}$ to pare this down to a complete set, but since this condition is not linear in the projectors, in practice it is simpler to work with the overcomplete set, and we will not be missing anything by doing so.

References

- [1] J. M. Bardeen, P. J. Steinhardt and M. S. Turner, "Spontaneous Creation of Almost Scale - Free Density Perturbations in an Inflationary Universe," Phys. Rev. D **28**, 679 (1983).
- [2] D. S. Salopek and J. R. Bond, "Nonlinear evolution of long wavelength metric fluctuations in inflationary models," Phys. Rev. D **42**, 3936 (1990).
- [3] J. M. Maldacena, "Non-Gaussian features of primordial fluctuations in single field inflationary models," JHEP **0305**, 013 (2003) [astro-ph/0210603].
- [4] P. Creminelli and M. Zaldarriaga, "Single field consistency relation for the 3-point function," JCAP **0410**, 006 (2004) [astro-ph/0407059].
- [5] C. Cheung, A. L. Fitzpatrick, J. Kaplan and L. Senatore, "On the consistency relation of the 3-point function in single field inflation," JCAP **0802**, 021 (2008) [arXiv:0709.0295 [hep-th]].
- [6] A. A. Starobinsky, "Relict Gravitation Radiation Spectrum and Initial State of the Universe. (In Russian)," JETP Lett. **30**, 682 (1979) [Pisma Zh. Eksp. Teor. Fiz. **30**, 719 (1979)].
- [7] A. H. Guth, "The Inflationary Universe: A Possible Solution to the Horizon and Flatness Problems," Phys. Rev. D **23**, 347 (1981).
- [8] A. Albrecht and P. J. Steinhardt, "Cosmology for Grand Unified Theories with Radiatively Induced Symmetry Breaking," Phys. Rev. Lett. **48**, 1220 (1982).
- [9] A. D. Linde, "A New Inflationary Universe Scenario: A Possible Solution of the Horizon, Flatness, Homogeneity, Isotropy and Primordial Monopole Problems," Phys. Lett. B **108**, 389 (1982).
- [10] A. D. Linde, "Hybrid inflation," Phys. Rev. D **49**, 748 (1994) [astro-ph/9307002].

- [11] J. Khoury and P. J. Steinhardt, “Adiabatic Ekpyrosis: Scale-Invariant Curvature Perturbations from a Single Scalar Field in a Contracting Universe,” *Phys. Rev. Lett.* **104**, 091301 (2010) [arXiv:0910.2230 [hep-th]].
- [12] J. Khoury and P. J. Steinhardt, “Generating Scale-Invariant Perturbations from Rapidly-Evolving Equation of State,” *Phys. Rev. D* **83**, 123502 (2011) [arXiv:1101.3548 [hep-th]].
- [13] A. Joyce and J. Khoury, “Scale Invariance via a Phase of Slow Expansion,” *Phys. Rev. D* **84**, 023508 (2011) [arXiv:1104.4347 [hep-th]].
- [14] C. Armendariz-Picon and E. A. Lim, “Scale invariance without inflation?,” *JCAP* **0312**, 002 (2003) [astro-ph/0307101].
- [15] C. Armendariz-Picon, “Near Scale Invariance with Modified Dispersion Relations,” *JCAP* **0610**, 010 (2006) [astro-ph/0606168].
- [16] Y. -S. Piao, “Seeding Primordial Perturbations During a Decelerated Expansion,” *Phys. Rev. D* **75**, 063517 (2007) [gr-qc/0609071].
- [17] J. Magueijo, “Speedy sound and cosmic structure,” *Phys. Rev. Lett.* **100**, 231302 (2008) [arXiv:0803.0859 [astro-ph]].
- [18] J. Magueijo, “Bimetric varying speed of light theories and primordial fluctuations,” *Phys. Rev. D* **79**, 043525 (2009) [arXiv:0807.1689 [gr-qc]].
- [19] Y. -S. Piao, “On Primordial Density Perturbation and Decaying Speed of Sound,” *Phys. Rev. D* **79**, 067301 (2009) [arXiv:0807.3226 [gr-qc]].
- [20] D. Bessada, W. H. Kinney, D. Stojkovic and J. Wang, “Tachyacoustic Cosmology: An Alternative to Inflation,” *Phys. Rev. D* **81**, 043510 (2010) [arXiv:0908.3898 [astro-ph.CO]].
- [21] J. Khoury and G. E. J. Miller, “Towards a Cosmological Dual to Inflation,” *Phys. Rev. D* **84**, 023511 (2011) [arXiv:1012.0846 [hep-th]].
- [22] D. H. Lyth and D. Wands, “Generating the curvature perturbation without an inflaton,” *Phys. Lett. B* **524**, 5 (2002) [hep-ph/0110002].
- [23] G. Dvali, A. Gruzinov and M. Zaldarriaga, “A new mechanism for generating density perturbations from inflation,” *Phys. Rev. D* **69**, 023505 (2004) [astro-ph/0303591].
- [24] L. Kofman, “Probing string theory with modulated cosmological fluctuations,” astro-ph/0303614.
- [25] J. -L. Lehners, P. McFadden, N. Turok and P. J. Steinhardt, “Generating ekpyrotic curvature perturbations before the big bang,” *Phys. Rev. D* **76**, 103501 (2007) [hep-th/0702153 [HEP-TH]].
- [26] E. I. Buchbinder, J. Khoury and B. A. Ovrut, “New Ekpyrotic cosmology,” *Phys. Rev. D* **76**, 123503 (2007) [hep-th/0702154].
- [27] P. Creminelli and L. Senatore, “A Smooth bouncing cosmology with scale invariant spectrum,” *JCAP* **0711**, 010 (2007) [hep-th/0702165].

- [28] D. Wands, “Duality invariance of cosmological perturbation spectra,” *Phys. Rev. D* **60**, 023507 (1999) [gr-qc/9809062].
- [29] F. Finelli and R. Brandenberger, “On the generation of a scale invariant spectrum of adiabatic fluctuations in cosmological models with a contracting phase,” *Phys. Rev. D* **65**, 103522 (2002) [hep-th/0112249].
- [30] V. Assassi, D. Baumann and D. Green, “Symmetries and Loops in Inflation,” arXiv:1210.7792 [hep-th].
- [31] L. Senatore and M. Zaldarriaga, “The constancy of ζ in single-clock Inflation at all loops,” arXiv:1210.6048 [hep-th].
- [32] Y. -F. Cai, W. Xue, R. Brandenberger and X. Zhang, “Non-Gaussianity in a Matter Bounce,” *JCAP* **0905**, 011 (2009) [arXiv:0903.0631 [astro-ph.CO]].
- [33] J. Khoury and F. Piazza, “Rapidly-Varying Speed of Sound, Scale Invariance and Non-Gaussian Signatures,” *JCAP* **0907**, 026 (2009) [arXiv:0811.3633 [hep-th]].
- [34] S. Endlich, A. Nicolis and J. Wang, “Solid Inflation,” arXiv:1210.0569 [hep-th].
- [35] S. Weinberg, “Cosmology,” Oxford, UK: Oxford Univ. Pr. (2008) 593 p.
- [36] S. Weinberg, “Adiabatic modes in cosmology,” *Phys. Rev. D* **67**, 123504 (2003) [astro-ph/0302326].
- [37] L. Senatore and M. Zaldarriaga, “A Note on the Consistency Condition of Primordial Fluctuations,” *JCAP* **1208**, 001 (2012) [arXiv:1203.6884 [astro-ph.CO]].
- [38] S. L. Adler, “Consistency conditions on the strong interactions implied by a partially conserved axial vector current,” *Phys. Rev.* **137**, B1022 (1965).
- [39] S. Weinberg, “Pion scattering lengths,” *Phys. Rev. Lett.* **17**, 616 (1966).
- [40] V. Assassi, D. Baumann and D. Green, “On Soft Limits of Inflationary Correlation Functions,” *JCAP* **1211**, 047 (2012) [arXiv:1204.4207 [hep-th]].
- [41] W. D. Goldberger, L. Hui, A. Nicolis and , “One-particle-irreducible consistency relations for cosmological perturbations,” arXiv:1303.1193 [hep-th].
- [42] K. Schalm, G. Shiu and T. van der Aalst, “Consistency condition for inflation from (broken) conformal symmetry,” arXiv:1211.2157 [hep-th].
- [43] P. Creminelli, J. Noreña and M. Simonović, “Conformal consistency relations for single-field inflation,” *JCAP* **1207**, 052 (2012) [arXiv:1203.4595 [hep-th]].
- [44] K. Hinterbichler, L. Hui and J. Khoury, “Conformal Symmetries of Adiabatic Modes in Cosmology,” *JCAP* **1208**, 017 (2012) [arXiv:1203.6351 [hep-th]].
- [45] I. Antoniadis, P. O. Mazur and E. Mottola, “Conformal invariance and cosmic background radiation,” *Phys. Rev. Lett.* **79**, 14 (1997) [astro-ph/9611208].

- [46] I. Antoniadis, P. O. Mazur and E. Mottola, “Conformal Invariance, Dark Energy, and CMB Non-Gaussianity,” *JCAP* **1209**, 024 (2012) [arXiv:1103.4164 [gr-qc]].
- [47] J. M. Maldacena and G. L. Pimentel, “On graviton non-Gaussianities during inflation,” *JHEP* **1109**, 045 (2011) [arXiv:1104.2846 [hep-th]].
- [48] P. Creminelli, “Conformal invariance of scalar perturbations in inflation,” *Phys. Rev. D* **85**, 041302 (2012) [arXiv:1108.0874 [hep-th]].
- [49] A. Kehagias, A. Riotto and A. Riotto, “Operator Product Expansion of Inflationary Correlators and Conformal Symmetry of de Sitter,” *Nucl. Phys. B* **864**, 492 (2012) [arXiv:1205.1523 [hep-th]].
- [50] A. Kehagias and A. Riotto, “The Four-point Correlator in Multifield Inflation, the Operator Product Expansion and the Symmetries of de Sitter,” *Nucl. Phys. B* **868**, 577 (2013) [arXiv:1210.1918 [hep-th]].
- [51] I. Mata, S. Raju and S. Trivedi, “CMB from CFT,” arXiv:1211.5482 [hep-th].
- [52] V. Parthasarathy, “An Analysis On Ward Identity For Multi-Field Inflation,” arXiv:1212.6960 [astro-ph.CO].
- [53] P. A. R. Ade *et al.* [Planck Collaboration], “Planck 2013 Results. XXIV. Constraints on primordial non-Gaussianity,” arXiv:1303.5084 [astro-ph.CO].
- [54] J. W. York, Jr., “Gravitational degrees of freedom and the initial-value problem,” *Phys. Rev. Lett.* **26**, 1656 (1971).
- [55] A. Lichnerowicz, *J. Math. Pure Appl.* **23**, 37 (1944).
- [56] S. Weinberg, Cambridge, UK: Univ. Pr. (1995) 609 p
- [57] A. H. Guth and S. -Y. Pi, “The Quantum Mechanics of the Scalar Field in the New Inflationary Universe,” *Phys. Rev. D* **32**, 1899 (1985).
- [58] J. Guven, B. Lieberman and C. T. Hill, “Schrödinger Picture Field Theory In Robertson-walker Flat Space-times,” *Phys. Rev. D* **39**, 438 (1989).
- [59] S. Weinberg, “Quantum contributions to cosmological correlations,” *Phys. Rev. D* **72**, 043514 (2005) [hep-th/0506236].
- [60] S. Weinberg, “Quantum contributions to cosmological correlations. II. Can these corrections become large?,” *Phys. Rev. D* **74**, 023508 (2006) [hep-th/0605244].
- [61] L. Senatore and M. Zaldarriaga, “On Loops in Inflation,” *JHEP* **1012**, 008 (2010) [arXiv:0912.2734 [hep-th]].
- [62] L. Senatore and M. Zaldarriaga, “On Loops in Inflation II: IR Effects in Single Clock Inflation,” *JHEP* **1301**, 109 (2013) [arXiv:1203.6354 [hep-th]].
- [63] G. L. Pimentel, L. Senatore and M. Zaldarriaga, “On Loops in Inflation III: Time Independence of zeta in Single Clock Inflation,” *JHEP* **1207**, 166 (2012) [arXiv:1203.6651 [hep-th]].

- [64] D. Seery, M. S. Sloth and F. Vernizzi, “Inflationary trispectrum from graviton exchange,” JCAP **0903**, 018 (2009) [arXiv:0811.3934 [astro-ph]].
- [65] L. Leblond and E. Pajer, “Resonant Trispectrum and a Dozen More Primordial N-point functions,” JCAP **1101**, 035 (2011) [arXiv:1010.4565 [hep-th]].
- [66] N. Arkani-Hamed, F. Cachazo and J. Kaplan, “What is the Simplest Quantum Field Theory?,” JHEP **1009**, 016 (2010) [arXiv:0808.1446 [hep-th]].
- [67] P. Creminelli, A. Joyce, J. Khoury and M. Simonović, to appear.
- [68] R. Holman and A. J. Tolley, “Enhanced Non-Gaussianity from Excited Initial States,” JCAP **0805**, 001 (2008) [arXiv:0710.1302 [hep-th]].
- [69] P. D. Meerburg, J. P. van der Schaar and P. S. Corasaniti, “Signatures of Initial State Modifications on Bispectrum Statistics,” JCAP **0905**, 018 (2009) [arXiv:0901.4044 [hep-th]].
- [70] P. D. Meerburg, J. P. van der Schaar and M. G. Jackson, “Bispectrum signatures of a modified vacuum in single field inflation with a small speed of sound,” JCAP **1002**, 001 (2010) [arXiv:0910.4986 [hep-th]].
- [71] J. Ganc, “Calculating the local-type fNL for slow-roll inflation with a non-vacuum initial state,” Phys. Rev. D **84**, 063514 (2011) [arXiv:1104.0244 [astro-ph.CO]].
- [72] D. Chialva, “Signatures of very high energy physics in the squeezed limit of the bispectrum (violation of Maldacena’s condition),” JCAP **1210**, 037 (2012) [arXiv:1108.4203 [astro-ph.CO]].
- [73] N. Agarwal, R. Holman, A. J. Tolley and J. Lin, “Effective field theory and non-Gaussianity from general inflationary states,” arXiv:1212.1172 [hep-th].
- [74] R. Flauger, D. Green, R. A. Porto and , “On Squeezed Limits in Single-Field Inflation - Part I,” arXiv:1303.1430 [hep-th].
- [75] A. Aravind, D. Lorshbough, S. Paban and , “Non-Gaussianity from Excited Initial Inflationary States,” arXiv:1303.1440 [hep-th].
- [76] V. A. Rubakov, “Harrison-Zeldovich spectrum from conformal invariance,” JCAP **0909**, 030 (2009) [arXiv:0906.3693 [hep-th]].
- [77] K. Hinterbichler and J. Khoury, “The Pseudo-Conformal Universe: Scale Invariance from Spontaneous Breaking of Conformal Symmetry,” JCAP **1204**, 023 (2012) [arXiv:1106.1428 [hep-th]].
- [78] P. Creminelli, A. Nicolis and E. Trincherini, “Galilean Genesis: An Alternative to inflation,” JCAP **1011**, 021 (2010) [arXiv:1007.0027 [hep-th]].
- [79] K. Hinterbichler, A. Joyce and J. Khoury, “Non-linear Realizations of Conformal Symmetry and Effective Field Theory for the Pseudo-Conformal Universe,” JCAP **1206**, 043 (2012) [arXiv:1202.6056 [hep-th]].
- [80] P. Creminelli, K. Hinterbichler, J. Khoury, A. Nicolis and E. Trincherini, “Subluminal Galilean Genesis,” arXiv:1209.3768 [hep-th].

- [81] K. Hinterbichler, A. Joyce, J. Khoury and G. E. J. Miller, “DBI Realizations of the Pseudo-Conformal Universe and Galilean Genesis Scenarios,” JCAP **1212**, 030 (2012) [arXiv:1209.5742 [hep-th]].
- [82] K. Hinterbichler, A. Joyce, J. Khoury and G. E. J. Miller, “DBI Genesis: An Improved Violation of the Null Energy Condition,” arXiv:1212.3607 [hep-th].
- [83] P. Creminelli, A. Joyce, J. Khoury and M. Simonovic, “Consistency Relations for the Conformal Mechanism,” arXiv:1212.3329 [hep-th].